

# CITIBANK BROKERAGE SERVICES APPLICATION FORM



For New to Bank, Citibank Credit Card and Ready Credit Customers

\*Please complete Parts I, II and III

## Part I

<b>Please note that we are only able to accept applications from an individual:</b>
• 21 years old and above      • Not a US Person      • Resident or Domiciled only in Singapore
<b>Please tick the relevant boxes.</b>
Documents required for both main and joint applicants (when applicable)
<b>For Singaporeans and Singapore PRs, please provide:</b>
<input type="checkbox"/> A signed copy of your NRIC
<input type="checkbox"/> One of the following documents for Proof of Address (if your home address on the application form does not match the home address on your ID document) • Residential Phone Bill • Utility Bill • Bank Statement
<b>For Foreigners, please provide the following documents:</b>
<input type="checkbox"/> A signed copy of your valid passport. (Passport copy should be valid for a minimum period of 6 months from the date of submission)
<input type="checkbox"/> One of the following documents • Work Permit • Dependent's Pass • Employment Pass • Student's Pass
<input type="checkbox"/> One of the following documents for Proof of Address • Residential Phone Bill • Utility Bill • Bank Statement
<b>Additional Documents Required:</b>
• Customer Account Review / Risk Warning Statement Form • W- 8Ben Form • Common Reporting Standards Form • Source of Wealth Addendum
<b>Market Selection:</b> <b>(Please note that U.S. market will open by default)</b>
<input checked="" type="checkbox"/> U.S. market <input type="checkbox"/> HK market <input type="checkbox"/> SG market

PERSONAL DETAILS - MAIN APPLICANT	
Full Name as in NRIC/Passport (Please Underline Surname)	
<input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm <input type="checkbox"/> Dr	
Mother's Maiden Name (for security verification)	
Gender	Date of Birth
<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="text"/> Day <input type="text"/> Mth <input type="text"/> Yr
Country of Birth	
City / Place of Birth	
Singapore Citizen	
NRIC: _____ <input type="checkbox"/> Citizen <input type="checkbox"/> PR	
US Green Card	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Other Nationality (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	
<b>Additional Nationality</b> (List the countries which you hold additional citizenship/nationality)	
Country 1 (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	

PERSONAL DETAILS - JOINT APPLICANT	
Full Name as in NRIC/Passport (Please Underline Surname)	
<input type="checkbox"/> Mr <input type="checkbox"/> Ms <input type="checkbox"/> Mrs <input type="checkbox"/> Mdm <input type="checkbox"/> Dr	
Mother's Maiden Name (for security verification)	
Gender	Date of Birth
<input type="checkbox"/> Male <input type="checkbox"/> Female	<input type="text"/> Day <input type="text"/> Mth <input type="text"/> Yr
Country of Birth	
City / Place of Birth	
Singapore Citizen	
NRIC: _____ <input type="checkbox"/> Citizen <input type="checkbox"/> PR	
US Green Card	
<input type="checkbox"/> Yes <input type="checkbox"/> No	
<input type="checkbox"/> Other Nationality (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	
<b>Additional Nationality</b> (List the countries which you hold additional citizenship/nationality)	
Country 1 (if applicable)	
Country: _____	
Passport Number: _____	
Passport Issuance Date: _____	
Passport Expiry Date: _____	

**PART I (Continued)**

Country 2 (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
Tax Residency (List all countries in which you currently pay taxes) <input type="checkbox"/> Singapore <input type="checkbox"/> Other country 1: _____ <input type="checkbox"/> Other country 2: _____ <input type="checkbox"/> Other country 3: _____	
Home Address    Postal Code <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Mailing Address (if different from your Home Address)    Postal Code <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Is your home address the same as what is shown in your ID document?	
<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, please provide a copy of Phone Bill or Utility Bill or Bank Statement)  _____ Customer Signature
Register your mobile number with us to trade online and receive alerts.	
Home: _____ Office: _____  Mobile: + ( ) _____	
Preferred Email Address	
Alternative Email	
Employment Status <input type="checkbox"/> Employed/Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed	
Company Name and Address	
Nature of Business <input type="checkbox"/> Banking and Finance <input type="checkbox"/> Professionals <input type="checkbox"/> IT & Communications <input type="checkbox"/> Retail/F&B <input type="checkbox"/> Manufacturing <input type="checkbox"/> Property Related <input type="checkbox"/> Employment Agencies <input type="checkbox"/> Transport <input type="checkbox"/> Government <input type="checkbox"/> Others _____	
Occupation	
Monthly Income <input type="checkbox"/> Below \$2,500 <input type="checkbox"/> \$2,500 - \$5,000 <input type="checkbox"/> \$5,001 - \$8,000 <input type="checkbox"/> \$8,001 - \$10,000 <input type="checkbox"/> Above \$10,000	
<b>Electronic Statements</b>	
Electronic Statements is a service where all statements will be available to you via Citibank Online. If you would like to enrol, please tick the box. <input type="checkbox"/> I would like to enroll for Electronic Statements	
<b>U.S. Tax Declaration</b>	
<input type="checkbox"/> Non-U.S. Person	
<b>Citi Phone Self-Service Banking (T-Pin)</b>	
<input type="checkbox"/> Yes <input type="checkbox"/> No	

Country 2 (if applicable) Country: _____ Passport Number: _____ Passport Issuance Date: _____ Passport Expiry Date: _____	
Tax Residency (List all countries in which you currently pay taxes) <input type="checkbox"/> Singapore <input type="checkbox"/> Other country 1: _____ <input type="checkbox"/> Other country 2: _____ <input type="checkbox"/> Other country 3: _____	
Home Address    Postal Code <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Mailing Address (if different from your Home Address)    Postal Code <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>	
Is your home address the same as what is shown in your ID document?	
<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, please provide a copy of Phone Bill or Utility Bill or Bank Statement)  _____ Customer Signature
Register your mobile number with us to trade online and receive alerts.	
Home: _____ Office: _____  Mobile: + ( ) _____	
Preferred Email Address	
Alternative Email	
Employment Status <input type="checkbox"/> Employed/Self Employed <input type="checkbox"/> Retired <input type="checkbox"/> Student <input type="checkbox"/> Housewife <input type="checkbox"/> Unemployed	
Company Name and Address	
Nature of Business <input type="checkbox"/> Banking and Finance <input type="checkbox"/> Professionals <input type="checkbox"/> IT & Communications <input type="checkbox"/> Retail/F&B <input type="checkbox"/> Manufacturing <input type="checkbox"/> Property Related <input type="checkbox"/> Employment Agencies <input type="checkbox"/> Transport <input type="checkbox"/> Government <input type="checkbox"/> Others _____	
Occupation	
Monthly Income <input type="checkbox"/> Below \$2,500 <input type="checkbox"/> \$2,500 - \$5,000 <input type="checkbox"/> \$5,001 - \$8,000 <input type="checkbox"/> \$8,001 - \$10,000 <input type="checkbox"/> Above \$10,000	
<b>Electronic Statements</b>	
Electronic Statements is a service where all statements will be available to you via Citibank Online. If you would like to enrol, please tick the box. <input type="checkbox"/> I would like to enroll for Electronic Statements	
<b>U.S. Tax Declaration</b>	
<input type="checkbox"/> Non-U.S. Person	
<b>Citi Phone Self-Service Banking (T-Pin)</b>	
<input type="checkbox"/> Yes <input type="checkbox"/> No	

**PART I (Continued)**

**New Citibank ATM/Debit card (Optional)**

Your Cash Trading Account(s) will be linked to your existing Citibank ATM/Debit card. If you do not wish to link your Cash Trading Account(s) to your existing card, please tick the box for a new Citibank ATM/Debit card to be issued to you.

I would like a new Citibank ATM card to be issued.

\*Please note that the new Citibank ATM/Debit card will not be linked to your existing banking account(s), and will only be linked to the Brokerage Cash Trading Account(s) that will be opened with this application.

**New Citibank ATM/Debit card (Optional)**

Your Cash Trading Account(s) will be linked to your existing Citibank ATM/Debit card. If you do not wish to link your Cash Trading Account(s) to your existing card, please tick the box for a new Citibank ATM/Debit card to be issued to you.

I would like a new Citibank ATM card to be issued.

\*Please note that the new Citibank ATM/Debit card will not be linked to your existing banking account(s), and will only be linked to the Brokerage Cash Trading Account(s) that will be opened with this application.

**SENIOR PUBLIC FIGURE DECLARATION**

**Main Applicant**

No, I/my immediate family members do not hold, have never held, am/are not actively seeking and am/are not being considered for senior public figure & position

Yes, I/my immediate family members:

Currently hold  Have held  Am/are actively seeking

Am/are being considered for Senior public office (please elaborate)

Name: \_\_\_\_\_

Position details: \_\_\_\_\_ Current  Yes  No

If not current, please state period when the senior public figure position\* was held  
From \_\_\_\_\_ To \_\_\_\_\_

\* Please refer to the Customer Declaration section for definition of Senior Public Figure

**SENIOR PUBLIC FIGURE DECLARATION**

**Main Applicant**

No, I/my immediate family members do not hold, have never held, am/are not actively seeking and am/are not being considered for senior public figure & position

Yes, I/my immediate family members:

Currently hold  Have held  Am/are actively seeking

Am/are being considered for Senior public office (please elaborate)

Name: \_\_\_\_\_

Position details: \_\_\_\_\_ Current  Yes  No

If not current, please state period when the senior public figure position\* was held  
From \_\_\_\_\_ To \_\_\_\_\_

\* Please refer to the Customer Declaration section for definition of Senior Public Figure

**GLOBAL PRIVACY PROTECTION**

Information on Products and Services Main

I confirm that I would like to

I confirm that I would NOT like to

receive information about Products, Services, promotions and events provided/arranged by Citibank, its affiliates or strategic partners via voice call.

\_\_\_\_\_  
Main applicant's Signature

**GLOBAL PRIVACY PROTECTION**

Information on Products and Services Joint

I confirm that I would like to

I confirm that I would NOT like to

receive information about Products, Services, promotions and events provided/arranged by Citibank, its affiliates or strategic partners via voice call.

\_\_\_\_\_  
Joint applicant's Signature

**ADDITIONAL INFORMATION**

What is your objective in opening an account:

Savings/Investment  Payroll  Transactions e.g. Giro

Type of Funds:

Cash  Cheque  TT / Inward Remittance  Electronic Transfer from Employer

Source of Wealth:

Savings  Payroll  Inherited Wealth  Sales of Investment

Rental Income  Business Income  Directorship

Source of Funds:

Personal Savings  Business Income  Sale of Property  Investment

Rental Income  Pension  Inheritance  Wages Deposited from Employer

**ADDITIONAL INFORMATION**

What is your objective in opening an account:

Savings/Investment  Payroll  Transactions e.g. Giro

Type of Funds:

Cash  Cheque  TT / Inward Remittance  Electronic Transfer from Employer

Source of Wealth:

Savings  Payroll  Inherited Wealth  Sales of Investment

Rental Income  Business Income  Directorship

Source of Funds:

Personal Savings  Business Income  Sale of Property  Investment

Rental Income  Pension  Inheritance  Wages Deposited from Employer


**Part II**

**Investments are subject to certain risks. Before making a decision to invest in any investment product, it is advisable that you understand the features, benefits and risks involved in investing in the product and assess the suitability of the product in light of your risk appetite, investment objectives, financial situation and needs. You should not invest in any product unless you fully understand and are willing to assume the risks associated with it. You should obtain independent professional advice as you consider necessary.**

**In the case of joint accounts, Citibank requests account holders to determine between them which individual's knowledge and experience should be recorded by Citibank and assigned to the joint account.**

I confirm to Citibank that I have knowledge and/or experience in Exchange Traded Products (described below) based on past investment experience, academic qualification, work experience and/or other means. "Exchange Traded Products" refer to products such as common stock, preference shares, bonds, American Depository Receipts, Real Estate Investment Trusts, Exchange Traded Funds, Exchange Traded Notes, Exchange Traded Business Trusts, warrants that are traded on stock markets.

With effect from 1 April 2019, you are required to have a valid Investment Risk Profile and the required Knowledge and/or Experience in 'Equity' and/or 'Exchange Traded Fund to trade in stocks and/or Exchange Traded Funds.



\_\_\_\_\_  
**Signature of Applicant providing confirmation**

**Name of Applicant:** \_\_\_\_\_

## CUSTOMER'S DECLARATION

By signing below, I/we confirm to Citibank and the following:

1. I/We want to trade in the selected markets offered by Citibank.
2. I/We confirm that the information provided in the Citibank Brokerage Services Application Form and in any document furnished by me/us in connection with such application is true, accurate and complete. I/We enclose a photocopy of my/our NRIC/passport showing my/our particulars.
3. Please refer to [www.citibank.com.sg](http://www.citibank.com.sg) for the Terms and Conditions for Citibank Brokerage Services, Citibank Singapore Global Consumer Banking Terms and Conditions and the Citibank Online User Agreement.
4. I/We confirm that I am/we are the beneficial owner(s) of the brokerage account.
5. I/We confirm that at the time of this application, I am/we are not undischarged bankrupt(s) and that there has been no statutory demand(s) served on me/us nor legal proceedings commenced against me/us.
6. I/We shall supply any information required by Citibank in connection with the processing of this application and the opening, operation and maintenance of my/our account(s) consequent upon the approval by Citibank of this application and shall notify Citibank promptly of any change to such information.
7. I/We agree that the approval of this application is at the sole discretion of Citibank.
8. Without prejudice to the generality of the terms permitting disclosure of information in Citibank Singapore Global Consumer Banking Terms and Conditions, I/we authorize the transfer and disclosure of any information relating to me/us and my/our account(s) with Citibank, to and between the branches, subsidiaries, representative offices, affiliates, employees and agents of Citibank and third parties selected by any of them may transfer and disclose any information as may be required by any applicable law, court, regulator or legal process. Where Citibank is a member of, or subscriber for the information sharing services of, any credit bureau recognized by the Monetary Authority of Singapore ("MAS") under or pursuant to the Banking Act (Chapter 19), I/we authorize:
  - (a) Citibank to transfer and disclose to any such bureau; and
  - (b) any such bureau to transfer and disclose to any fellow member or subscriber as may be recognized as such by MAS, any information relating to me/us and/or any of my/our account(s) with Citibank (and for such purposes) as may be permitted under or pursuant to the Banking Act (Chapter 19).
- 8A. I/we confirm that I am/we are aware of and understand the Privacy Circular prepared pursuant to the Personal Data Protection Act 2012 of Singapore ("PDPA") for the compliance of PDPA. I/We warrant and represent to you that to the extent required by applicable law or regulation, I/we have provided notice to and obtained consent from the Relevant Individuals (as defined in the Privacy Circular), for you, Citibank Entities (as defined in the Privacy Circular), and its agents and contractors to collect, use, disclose and/or otherwise deal with Personal Data (as defined in the PDPA), for purposes described in the Privacy Circular, the Agreement and/or the Citibank Singapore Global Consumer Banking Terms and Conditions, prior to the disclosure of such Personal Data to the Bank.
9. I/We understand that the price of securities can and does fluctuate, sometimes dramatically, and that any individual security may experience upward or downward movements, and may even become valueless. I/We therefore acknowledge that it is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. This is the risk that I am/we are prepared to accept. I/We take complete responsibility for any outcome of buying and selling securities and agree not to hold Citibank responsible for any losses resulting from my/our trading strategy except in the case of Citibank's or its employees' gross negligence, wilful default or fraud.
10. (Where I/we do not already have a Citibank ATM/Debit Card) I/We acknowledge and agree that in order for me/us to enjoy the brokerage services offered via Citibank's website (defined below), I/we am/are required to apply for a Citibank ATM Card. I/We hereby request that a Citibank ATM Card be issued to me/us upon approval of my/our application here under and I/we agree to be bound by the terms and conditions applicable to such Citibank ATM Card as set out in the Citibank Singapore Global Consumer Banking Terms and Conditions.
11. I am/We are aware that I/we may request for advice from Citibank in connection with transactions in my/our brokerage account(s). I/We acknowledge and agree that any advice (or recommendation) provided by Citibank pursuant to my/our request shall be limited to advice (or recommendation) the provision of which is required under the Notice on the Sale of Investment Products (SFA O4-N12) and/or the Notice on Recommendations on Investment Products (FAA-N16) issued by the Monetary Authority of Singapore. The references to these notices shall include any variation, amendment or supplemental issued by the Monetary Authority of Singapore. Without limitation to the generality of the foregoing, Citibank is exempt from complying with the Notice on Sale of Investment Products and the Notice on Recommendations on Investment Products where I am an/we are Accredited Investor(s). I/We understand that any advice (or recommendation) provided by Citibank pursuant to my/our request will be based on information I/we provide to Citibank about my/our risk profile and investment objectives. The advice (or recommendation) is provided as at a specific point in time and the performance of my/our investments will not be actively monitored by Citibank. I/We acknowledge and agree that Citibank does not undertake to, and is not obliged to, undertake a review, manage or monitor my/our investment(s). I/We further acknowledge and agree that no investment advisory or fund management services are being provided by Citibank in connection with my/our Citibank Brokerage Account.
12. US Tax Declaration
  - (a) By checking the appropriate box under the U.S. Tax Declaration set out in this form (Part I), I/we declare my/our tax status under U.S. tax law. I/We understand that a false statement or misrepresentation of the tax status by a U.S. Person could lead to penalties under U.S. tax laws.
  - (b) In the event if the U.S. tax declaration box in Part I has not been checked, I/we represent and warrant that I am/we are not a U.S. Person and that I am/we are not acting for or on behalf of a U.S. Person. A false statement or misrepresentation of tax status by a U.S. Person could lead to penalties under the U.S. laws.
  - (c) I/We must provide you with such information as you may require from time to time, and must update that information as you require from time to time, to enable you or any Citigroup Organisation to comply with any Law or Regulation. In particular, I/we must contact you immediately or at least within 30 days if at any time in the future I/we become a U.S. Person (this does not apply if I/we have already informed you that I was/we were a U.S. Person when I/we opened the account). If I/we do become a U.S. Person, I/we must complete and return to you as soon as reasonably possible any relevant U.S. tax or waiver document that may apply to me/us and that you may request from time to time.
  - (d) For purposes of complying with the applicable Law or Regulation, I/we waive any bank secrecy, privacy or data protection rights related to my/our account(s). "Citigroup Organisation" means any affiliate or subsidiary of Citigroup, Inc. "Law or Regulation" means the law or regulation of any jurisdiction, domestic or foreign or any agreement entered into with or between any competent regulator prosecuting, tax, governmental authority in any jurisdiction, domestic or foreign "U.S." or "United States" refers to the United States of America "U.S. Person" mean any of the following:
    - (i) a United States citizen;
    - (ii) a United States resident; meaning:
      - a. a green card holder, or
      - b. an individual physically present in the United States for 31 days in the current calendar year and 183 days during the 3 year period that includes the current year and the two years immediately before that, counting:
        - i. all the days present in the U.S. in the current year,
        - ii. 1/3 of the days present in the U.S. in the first year before the current year, and iii. 1/6 of the days present in the U.S. in the second year before the current year; or
      - c. an individual designated a resident for U.S. tax purposes; or
      - d. an individual with a U.S. mailing address or U.S. telephone number.
    - (iii) a corporation partnership or entity organised or existing under the laws of any state territory or possession of the United States; (iv) an estate or trust of which any executor, administrator or trustee is a United States Person;
    - (iv) an agency or branch of a foreign entity located in the United States;
    - (v) a discretionary or non-discretionary account held by a fiduciary for the benefit or account of a United States Person;
    - (vi) a non-U.S. partnership, corporation or entity owned or controlled by a United States Person (ownership of 10% or more by a U.S. Person); or
    - (vii) a partnership, corporation or entity with a U.S. mailing address or U.S. telephone number.
 Citibank assumes no liability for any tax withheld on any reportable payments made to a customer under applicable Law or Regulation.
13. I am/We are not an individual resident in the European Union, European Economic Area, Switzerland, Guernsey, Jersey, Monaco, San Marino, Vatican, and The Isle of Man or the UK ("GDPR Individual"). I/We further acknowledge that this document is not, and should not be construed as, an offer, invitation or solicitation to apply for the brokerage service mentioned herein to such individuals. In the event that I/we become a GDPR Individual, I/we agree that the Bank shall be entitled to do all acts and things that the Bank deems necessary to comply with all applicable General Data Protection Regulation laws and regulations, including but not limited to a liquidation of the Account(s) on such terms as the Bank may determine. I/We agree to bear all costs and expenses that the Bank may incur as a result. I/We undertake to inform the Bank of any changes in my/our citizenship or residential status within 30 days of such change.
14. I/We confirm that in compliance with Section 23A of the Federal Reserve Act, I/We will not be purchasing Citigroup Securities by using loans/overdrafts granted by any Citigroup Organisation.
15. Counterparts  
This Brokerage Application Form may be executed in any number of counterparts, each of which, when executed and delivered (whether in original, fax or electronic copy), will be an original, but all the counterparts together will constitute one and the same document.
16. For Important Investment Information, please refer to <https://www.citibank.com.sg/wealth-management/investments/pdf/important-investment-information.pdf>

**PART III (Continued)**

**Definitions**

"Citibank" means Citibank Singapore Limited.

A "Senior Public Figure" is (a) a senior official in the executive, legislative, administrative, military or judicial branches of a government, whether elected or appointed, or paid or not, (b) a senior official of a major political party; or (c) a senior executive of a government-owned or government-funded corporation, institution or charity. The definition of "Senior Public Figure" encompasses both current and former Senior Public Figures. "Senior Public Figure" also includes any entity that has been formed by or on behalf of a Senior Public Figure, in which the Senior Public Figure is a beneficial owner (in part or in full) of the entity or exercise actual or effective control over the entity. In addition, a "Senior Public Figure" includes the immediate family of a Senior Public Figure and any close associate or relative of a Senior Public Figure. A close associate is a person who is widely and public known to maintain an unusually close relationship, either socially or professionally, with a Senior Public Figure.

"Citibank website" means www.citibank.com.sg

"Business Day" refers to any day on which banks are open for business in Singapore other than Saturday, Sunday and gazette public holidays.

"Accredited Investor" has the meaning ascribed to it in the Securities and Futures Act (Chapter 289) and refers to:

- (i) an individual:
  - (A) whose net personal assets exceed in value \$2 million (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount; or
  - (B) whose net financial assets exceed \$1 million (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount; or
  - (C) whose income in the preceding 12 months is not less than \$300,000 (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe in place of the first amount;
- (ii) a corporation with net assets exceeding \$10 million in value (or its equivalent in a foreign currency) or such other amount as the MAS may prescribe, in place of the first amount, as determined by:
  - (A) the most recent audited balance-sheet of the corporation; or
  - (B) where the corporation is not required to prepare audited accounts regularly, a balance-sheet of the corporation certified by the corporation as giving a true and fair view of the state of affairs of the corporation as of the date of the balance-sheet, which date shall be within the preceding 12 months;
- (iii) the trustee of such trust as the MAS may prescribe, when acting in that capacity; or
- (iv) such other person as the MAS may prescribe.

**Deposit Insurance Scheme**

Singapore dollar deposits of non-bank depositors are insured by the Singapore Deposit Insurance Corporation, for up to S\$75,000 in aggregate per depositor per Scheme member by law. Foreign currency deposits, dual currency investments, structured deposits and other investment products are not insured.

**Applicant's Acknowledgement and Agreement**

Signature: \_\_\_\_\_  
(Main Applicant)



Signature: \_\_\_\_\_  
(Joint Main Applicant)



Date: \_\_\_\_\_

Date: \_\_\_\_\_

(Signature will be kept as specimen and is applicable to accounts held with Citibank Singapore Limited.)

(A) Address validation when (tick where applicable):	Reviewed and Checked by 2nd Verifier*	
<input type="checkbox"/> Primary identity document does not contain customer address	<b>For Face-to-Face</b>	<b>For Callback: (in absence of 2nd verifier)</b>
<input type="checkbox"/> Mailing address cannot be substantiated		
<input type="checkbox"/> Address Differ; <ul style="list-style-type: none"> <li><input type="checkbox"/> Mailing or Home address ≠ address in primary identity document</li> <li><input type="checkbox"/> Mailing address ≠ home address in AO form</li> </ul>		
<b>(B) Critical Demographic Details alterations on the form</b>	<b>For Face-to-Face</b>	<b>For Callback: (in absence of 2nd verifier)</b>
Includes any of the following: <ol style="list-style-type: none"> <li>1. Name</li> <li>2. Identity / passport type &amp; number</li> <li>3. Nationality</li> <li>4. Date of birth</li> <li>5. Mother's maiden name</li> <li>6. Address</li> <li>7. Alternate mailing address</li> <li>8. Email address</li> <li>9. Phone / Fax number</li> </ol>	_____ Name and Signature*	_____ Name and Signature*
	_____ Date	_____ Date

**For Bank Use Only**

Source: \_\_\_\_\_

Name/RCAO: \_\_\_\_\_

Date: \_\_\_\_\_

Signature Verified By: \_\_\_\_\_

Instruction Taken By: \_\_\_\_\_

# CITIBANK BROKERAGE CUSTOMER ACCOUNT REVIEW ASSESSMENT RISK WARNING STATEMENT



To: Citibank Singapore Limited Global Consumer Bank ("Citibank")

## (A) CUSTOMER ACCOUNT REVIEW ASSESSMENT

To enable Citibank to assess my/our investment knowledge and experience, I/we hereby confirm that (tick where appropriate):

### IMPORTANT NOTE:

Please note that all joint accountholders are required to complete the Customer Account Review Assessment.

Please note that it is very important for you to provide complete and accurate information, as it may affect the outcome of the Customer Account Review.

Main Accountholder
<p><input type="checkbox"/> My highest educational qualification is:</p> <p><input type="checkbox"/> Primary &amp; Below Secondary      <input type="checkbox"/> Secondary</p> <p><input type="checkbox"/> Pre-University Diploma      <input type="checkbox"/> Diploma</p> <p><input type="checkbox"/> University &amp; Above</p> <p>My Diploma or higher qualification is in:</p> <p><input type="checkbox"/> Accountancy      <input type="checkbox"/> Business/Business Administration/ Business Management/Business Studies</p> <p><input type="checkbox"/> Actuarial Science</p> <p><input type="checkbox"/> Capital Markets      <input type="checkbox"/> Economics</p> <p><input type="checkbox"/> Commerce      <input type="checkbox"/> Financial Engineering</p> <p><input type="checkbox"/> Computational Finance</p> <p><input type="checkbox"/> Finance      <input type="checkbox"/> Insurance</p> <p><input type="checkbox"/> Financial Planning</p> <p><input type="checkbox"/> Professional Finance-related Qualifications (e.g.Chartered Financial Analyst)</p> <p><input type="checkbox"/> I have transacted in listed Specified Investment Products<sup>1</sup> at least six times in the preceding three years (stocks, warrants, options, exchange traded funds/notes, futures and certificates listed in Singapore and securities that are listed/quoted on a securities/ futures exchange outside Singapore).</p> <p><input type="checkbox"/> I have a minimum of three consecutive years of working experience (such working experience includes the provision of legal advice or possession of legal expertise on the relevant areas listed in this paragraph) in the past ten years, in the development of, structuring of, management of, sale of, trading of, research on or analysis of investment products, or the provision of training in investment products, or in accountancy, actuarial science, treasury or financial risk management activities.</p> <p><b>OR</b></p> <p><input type="checkbox"/> I do not possess any of the qualifying criteria described above which is mandated under The Securities and Futures Act (Cap 289) of Singapore for the purposes of passing the Customer Account Review ("CAR") Assessment.</p> <p><b>Dear Customer, if you do not possess any of the qualifying criteria and have not passed the Customer Account Review Assessment, we recommend that you go through an online education program arranged by The Singapore Exchange ("SGX") on Specified Investment Products to have sufficient understanding of the features and risks of derivatives. The online education program can be accessed at: <a href="https://onlineeducation.sgx.com/specifiedinvestmentproducts">https://onlineeducation.sgx.com/specifiedinvestmentproducts</a></b></p> <p>If you have completed the online education program arranged by SGX on Specified Investment Products please check box to confirm if appropriate:</p> <p><input type="checkbox"/> I confirm that I have completed and passed the online education program arranged by SGX and have sufficient understanding of the features and risks of derivatives; And here is the score of my assessment at the end of the SGX learning module taken:</p> <p>Date of Assessment(s) : _____</p> <p>Score(s) : _____</p> <p>I also confirm and declare that I have not been refused the opening of a Specified Investment Product trading account by another financial institution.</p> <p>I understand that I have fulfilled the CAR requirement or have completed and passed an online education program in Specified Investment Products. I further understand that Citibank may periodically request for my confirmation of any changes to this CAR record and I acknowledge that it is my responsibility to inform Citibank where any such changes have occurred or whenever such confirmation request is made to me. I further acknowledge that I understand that any inaccurate or incomplete information that I have provided/will provide may affect the outcome of the CAR.</p>

Joint Accountholder
<p><input type="checkbox"/> My highest educational qualification is:</p> <p><input type="checkbox"/> Primary &amp; Below Secondary      <input type="checkbox"/> Secondary</p> <p><input type="checkbox"/> Pre-University Diploma      <input type="checkbox"/> Diploma</p> <p><input type="checkbox"/> University &amp; Above</p> <p>My Diploma or higher qualification is in:</p> <p><input type="checkbox"/> Accountancy      <input type="checkbox"/> Business/Business Administration/ Business Management/Business Studies</p> <p><input type="checkbox"/> Actuarial Science</p> <p><input type="checkbox"/> Capital Markets      <input type="checkbox"/> Economics</p> <p><input type="checkbox"/> Commerce      <input type="checkbox"/> Financial Engineering</p> <p><input type="checkbox"/> Computational Finance</p> <p><input type="checkbox"/> Finance      <input type="checkbox"/> Insurance</p> <p><input type="checkbox"/> Financial Planning</p> <p><input type="checkbox"/> Professional Finance-related Qualifications (e.g.Chartered Financial Analyst)</p> <p><input type="checkbox"/> I have transacted in listed Specified Investment Products<sup>1</sup> at least six times in the preceding three years (stocks, warrants, options, exchange traded funds/notes, futures and certificates listed in Singapore and securities that are listed/quoted on a securities/ futures exchange outside Singapore).</p> <p><input type="checkbox"/> I have a minimum of three consecutive years of working experience (such working experience includes the provision of legal advice or possession of legal expertise on the relevant areas listed in this paragraph) in the past ten years, in the development of, structuring of, management of, sale of, trading of, research on or analysis of investment products, or the provision of training in investment products, or in accountancy, actuarial science, treasury or financial risk management activities.</p> <p><b>OR</b></p> <p><input type="checkbox"/> I do not possess any of the qualifying criteria described above which is mandated under The Securities and Futures Act (Cap 289) of Singapore for the purposes of passing the Customer Account Review ("CAR") Assessment.</p> <p><b>Dear Customer, if you do not possess any of the qualifying criteria and have not passed the Customer Account Review Assessment, we recommend that you go through an online education program arranged by The Singapore Exchange ("SGX") on Specified Investment Products to have sufficient understanding of the features and risks of derivatives. The online education program can be accessed at: <a href="https://onlineeducation.sgx.com/specifiedinvestmentproducts">https://onlineeducation.sgx.com/specifiedinvestmentproducts</a></b></p> <p>If you have completed the online education program arranged by SGX on Specified Investment Products please check box to confirm if appropriate:</p> <p><input type="checkbox"/> I confirm that I have completed and passed the online education program arranged by SGX and have sufficient understanding of the features and risks of derivatives; And here is the score of my assessment at the end of the SGX learning module taken:</p> <p>Date of Assessment(s) : _____</p> <p>Score(s) : _____</p> <p>I also confirm and declare that I have not been refused the opening of a Specified Investment Product trading account by another financial institution.</p> <p>I understand that I have fulfilled the CAR requirement or have completed and passed an online education program in Specified Investment Products. I further understand that Citibank may periodically request for my confirmation of any changes to this CAR record and I acknowledge that it is my responsibility to inform Citibank where any such changes have occurred or whenever such confirmation request is made to me. I further acknowledge that I understand that any inaccurate or incomplete information that I have provided/will provide may affect the outcome of the CAR.</p>

## Footnote:

### Description of Investment Product

"Investment product" means:

- (a) any capital markets product as defined in section 2(1) of The Securities and Futures Act;
- (b) any life policy; or
- (c) any other product as may be prescribed;

"Capital Markets Products" means any securities, futures contracts, contracts or arrangements for the purposes of foreign exchange trading, contracts or arrangements for the purposes of leveraged foreign exchange trading, and such other products as the Authority may prescribe as capital markets products.

### Description of Specified Investment Products

Investment products that are not Excluded Investment Products.

### Description of Excluded Investment Products

- (a) any stocks or shares issued or proposed to be issued by a corporation or body unincorporate, other than where such corporation or body unincorporate is a collective investment scheme;
- (b) any unit of a share which represents ownership of the underlying share, where -
  - (i) the underlying share is held on trust for the unit-holder by a custodian; and
  - (ii) no additional consideration (other than administrative fees) is payable by the unit-holder in the event that he converts the unit of share into the underlying share;
- (c) any right, option or derivative issued or proposed to be issued by a corporation or body unincorporate in respect of its own stocks or shares;
- (d) any unit in a business trust;
- (e) any derivative of units in a business trust;
- (f) any unit in a collective investment scheme, such collective investment scheme being an arrangement:
  - (i) that is a trust;
  - (ii) that invests primarily in real estate and real estate-related assets specified by the Monetary Authority of Singapore in the Code on Collective Investment Schemes; and
  - (iii) all or any units of which are listed for quotation on a securities exchange;
- (g) any unit in a collective investment scheme, where the constitutive documents of the scheme contain covenants that bind the manager of the scheme, or where the prospectus of the scheme or any document issued in connection with an offer of units in the scheme (being an offer that is not required to be made in or accompanied by a prospectus under section 296(1) of the Securities and Futures ("Act"), contains restrictions that bind the manager of the scheme ("CIS Documents"):
  - (i) to invest only in: (A) deposits; (B) gold, including gold certificates, gold savings accounts and physical gold; (C) any product specified in paragraphs (a) to (j) in this document; (D) any product not specified in paragraphs (a) to (j) in this document and such investment is solely for the purpose of hedging or efficient portfolio management; or (E) any product specified in sub-paragraphs (i)(A), (B), (C) or (D) and in addition, the CIS Documents also provide that the manager of the scheme may invest in any product not specified in sub-paragraphs (i)(A), (B), (C) or (D) if such investment is solely to comply with any applicable written law, regulations, directions, rules or non-statutory instrument of the jurisdiction where the scheme is constituted, operating or investing, which restricts or prohibits the manager of the scheme from investing in the products specified in sub-paragraphs (i)(A), (B), (C) or (D); and
  - (ii) not to engage in securities lending or repurchase transactions for the scheme, except where such securities lending or repurchase transaction are carried out solely for the purpose of efficient portfolio management and do not amount to more than 50% of the net asset value of the scheme;For the avoidance of doubt, should the manager of a collective investment scheme invest in any product not specified in sub-paragraphs (i)(A), (B), (C) or (D), this unit in a collective investment scheme will not be an Excluded Investment Product.
- (h) any debenture other than:
  - (i) asset-backed securities as defined in section 262(3) of the Securities and Futures Act; or
  - (ii) structured notes as defined in regulation 2(1) of the Securities and Futures (Offers of Investments) (Shares and Debentures) Regulations 2005;
- (i) any contract or arrangement the effect of which is that one party agrees to exchange currency at an agreed rate of exchange with another party, where such currency exchange is effected immediately; or
- (j) two or more products specified in paragraphs (a) to (i) in Annex 1 of the Notice that are linked together in a stapled manner such that one product may not be transferred or otherwise dealt without any of the other product(s).

## (B) RISK WARNING STATEMENT - OVERSEAS LISTED INVESTMENT PRODUCTS

### RISK WARNING

An overseas-listed investment product\* is subject to the laws and regulations of the jurisdiction it is listed in. Before you trade in an overseas-listed investment product or authorize someone else to trade for you, you should be aware of:

- The level of investor protection and safeguards that you are afforded in the relevant foreign jurisdiction, as the overseas-listed investment product would operate under a different regulatory regime.
- The differences between the legal systems in the foreign jurisdiction and Singapore that may affect your ability to recover your funds.
- The tax implications, currency risks, and additional transaction costs that you may have to incur.
- The counterparty and correspondent broker risks that you are exposed to.
- The political, economic and social developments that influence the overseas markets you are investing in.

These and other risks may affect the value of your investment. You should not invest in the product if you do not understand or are not comfortable with such risks.

\* An "overseas-listed investment product" in this statement refers to a capital markets product that is listed for quotation or quoted only on overseas securities exchange(s) or overseas futures exchange(s) (collectively referred to as "overseas exchanges").

- a) This statement is provided to you in accordance with paragraph 29D of the Notice on the Sale of Investment Products [SFA04-NI2].
- b) This statement does not disclose all the risks and other significant aspects of trading in an overseas-listed investment product. You should undertake such transactions only if you understand and are comfortable with the extent of your exposure to the risks.
- c) You should carefully consider whether such trading is suitable for you in light of your experience, objectives, risk appetite, financial resources and other relevant circumstances.  
In considering whether to trade or to authorize someone else to trade for you, you should be aware of the following:

#### Differences in Regulatory Regimes

- a. Overseas markets may be subject to different regulations, and may operate differently from approved exchanges in Singapore. For example, there may be different rules providing for the safekeeping of securities and monies held by custodian banks or depositories. This may affect the level of safeguards in place to ensure proper segregation and safekeeping of your investment products or monies held overseas. There is also the risk of your investment products or monies not being protected if the custodian has credit problems or fails. Overseas markets may also have different periods for clearing and settling transactions. These may affect the information available to you regarding transaction prices and the time you have to settle your trade on such overseas markets.
- b. Overseas markets may be subject to rules which may offer different investor protection as compared to Singapore. Before you start to trade, you should be fully aware of the types of redress available to you in Singapore and other relevant jurisdictions, if any.
- c. Overseas-listed investment products may not be subject to the same disclosure standards that apply to investment products listed for quotation or quoted on an approved exchange in Singapore. Where disclosure is made, differences in accounting, auditing and financial reporting standards may also affect the quality and comparability of information provided. It may also be more difficult to locate up-to-date information, and the information published may only be available in a foreign language.

#### Differences in legal systems

- d. In some countries, legal concepts which are practiced in mature legal systems may not be in place or may have yet to be tested in courts. This would make it more difficult to predict with a degree of certainty the outcome of judicial proceedings or even the quantum of damages which may be awarded following a successful claim.



**(B) (Continued)**

- e. The Monetary Authority of Singapore will be unable to compel the enforcement of the rules of the regulatory authorities or markets in other jurisdictions where your transactions will be effected.
- f. The laws of some jurisdictions may prohibit or restrict the repatriation of funds from such jurisdictions including capital, divestment proceeds, profits, dividends and interest arising from investment in such countries. Therefore, there is no guarantee that the funds you have invested and the funds arising from your investment will be capable of being remitted.
- g. Some jurisdictions may also restrict the amount or type of investment products that foreign investors may purchase. This can affect the liquidity and prices of the overseas-listed investment product that you invest in.

**Different costs involved**

- h. There may be tax implications of investing in an overseas-listed investment product. For example, sale proceeds or the receipt of any dividends and other income may be subject to tax levies, duties or charges in the foreign country, in Singapore, or in both countries.
- i. Your investment return on foreign currency-denominated investment products will be affected by exchange rate fluctuations where there is a need to convert from the currency of denomination of the investment products to another currency, or may be affected by exchange controls.
- j. You may have to pay additional costs such as fees and broker's commissions for transactions in overseas exchanges. In some jurisdictions, you may also have to pay a premium to trade certain listed investment products. Therefore, before you begin to trade, you should obtain a clear explanation of all commissions, fees and other charges for which you will be liable. These charges will affect your net profit (if any) or increase your loss.

**Counterparty and correspondent broker risks**

- k. Transactions on overseas exchanges or overseas markets are generally effected by your Singapore broker through the use of foreign brokers who have trading and/or clearing rights on those exchanges. All transactions that are executed upon your instructions with such counterparties and correspondent brokers are dependent on their respective due performance of their obligations. The insolvency or default of such counterparties and correspondent brokers may lead to positions being liquidated or closed out without your consent and/or may result in difficulties in recovering your money and assets held overseas.

**Political, Economic and Social Developments**

- l. Overseas markets are influenced by the political, economic and social developments in the foreign jurisdiction, which may be uncertain and may increase the risk of investing in overseas-listed investment products.

**(C) ACCOUNTHOLDER(S) ACKNOWLEDGEMENT**

By signing below,

I/We confirm that I/we have provided information under Part (A) truthfully knowing that Citibank Singapore Limited will rely on such information to offer the Citibank Brokerage Account to me/us. By providing the confirmation, I/we confirm my/our knowledge and/or experience in derivatives.

I/We acknowledge that I/we have received Part (B) Risk Warning Statement For Overseas Listed Investment Products, which is provided to me in accordance with paragraph 29D of SFA04-N12. I/We have been advised to read the Risk Warning Statement carefully before making an investment decision.

Name \_\_\_\_\_

Name \_\_\_\_\_

NRIC/Passport No.: \_\_\_\_\_

NRIC/Passport No.: \_\_\_\_\_

Signature: \_\_\_\_\_

(Main Accountholder)

Signature: \_\_\_\_\_

(Joint Accountholder)

Date: \_\_\_\_\_

Date: \_\_\_\_\_

**For Bank Use Only**

Date of Receipt: \_\_\_\_\_

Received by: \_\_\_\_\_

Instruction Taken By: \_\_\_\_\_

Signature Verified By: \_\_\_\_\_



**Certificate of Foreign Status of Beneficial Owner for United States Tax Withholding and Reporting (Individuals)**

► For use by individuals. Entities must use Form W-8BEN-E.  
 ► Go to [www.irs.gov/FormW8BEN](http://www.irs.gov/FormW8BEN) for instructions and the latest information.  
 ► Give this form to the withholding agent or payer. Do not send to the IRS.

OMB No. 1545-1621

**Do NOT use this form if:**

- You are NOT an individual . . . . . W-8BEN-E
- You are a U.S. citizen or other U.S. person, including a resident alien individual . . . . . W-9
- You are a beneficial owner claiming that income is effectively connected with the conduct of trade or business within the U.S. (other than personal services) . . . . . W-8ECI
- You are a beneficial owner who is receiving compensation for personal services performed in the United States . . . . . 8233 or W-4
- You are a person acting as an intermediary . . . . . W-8IMY

**Instead, use Form:**

**Note:** If you are resident in a FATCA partner jurisdiction (i.e., a Model 1 IGA jurisdiction with reciprocity), certain tax account information may be provided to your jurisdiction of residence.

**Part I Identification of Beneficial Owner** (see instructions)

<b>1</b> Name of individual who is the beneficial owner	<b>2</b> Country of citizenship
<b>3</b> Permanent residence address (street, apt. or suite no., or rural route). <b>Do not use a P.O. box or in-care-of address.</b>	
City or town, state or province. Include postal code where appropriate.	Country
<b>4</b> Mailing address (if different from above)	
City or town, state or province. Include postal code where appropriate.	Country
<b>5</b> U.S. taxpayer identification number (SSN or ITIN), if required (see instructions)	<b>6</b> Foreign tax identifying number (see instructions)
<b>7</b> Reference number(s) (see instructions)	<b>8</b> Date of birth (MM-DD-YYYY) (see instructions)

**Part II Claim of Tax Treaty Benefits** (for chapter 3 purposes only) (see instructions)

**9** I certify that the beneficial owner is a resident of \_\_\_\_\_ within the meaning of the income tax treaty between the United States and that country.

**10 Special rates and conditions** (if applicable—see instructions): The beneficial owner is claiming the provisions of Article and paragraph \_\_\_\_\_ of the treaty identified on line 9 above to claim a \_\_\_\_\_ % rate of withholding on (specify type of income): \_\_\_\_\_

Explain the additional conditions in the Article and paragraph the beneficial owner meets to be eligible for the rate of withholding: \_\_\_\_\_

**Part III Certification**

Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself for chapter 4 purposes,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
  - (a) not effectively connected with the conduct of a trade or business in the United States,
  - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
  - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. **I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.**

**Sign Here** ►

Signature of beneficial owner (or individual authorized to sign for beneficial owner)	Date (MM-DD-YYYY)
Print name of signer	Capacity in which acting (if form is not signed by beneficial owner)

**Please read these instructions carefully before completing the form.**

Citi offices located in countries that have adopted the Common Reporting Standard (CRS) are required **to collect and report certain information about an account holder's tax residence status. Please note that Citi may be legally required to report certain information provided in this form and other financial information with respect to your financial accounts to the tax authorities of the country where your account is maintained. In turn, the local tax authorities will exchange the reported information with the tax authorities in the country or countries in which you are a tax resident. Please complete this form only if the account holder is an individual (including a sole proprietor or a decedent)**

Definitions of an account holder and other terms are included in the Appendix.

Items that are marked with an asterisk (\*) are required to be completed.

For joint or multiple account holders, use a separate form for each individual.

Please note that this self-certification form is for CRS purposes only. Its completion is not a substitute for the completion of any IRS Form W-9, Form W-8 or FATCA Self-certification that may otherwise be required for U.S. tax purposes.

If you are completing this form on behalf of someone else, please indicate the capacity (custodian, nominee, executor, under power of attorney, etc.) in which you are signing in Part 3. A parent or legal guardian should complete the form on behalf of an account holder who is a minor.

Do not use this form for an entity account holder. Trusts (but not sole proprietors or decedents) are considered entities for this purpose. Instead use the CRS Self-certification Form for Entities.

This Form will remain valid unless there is a change in circumstances that makes this Form incorrect or incomplete. In that case, you must notify Citi within 30 days of any such change and provide us with an updated CRS self-certification.

As a financial institution, Citi does not provide tax advice to its customers. If you have any questions about whether you are a tax resident in any particular country, please contact your tax advisor or visit the OECD AEOI portal for country-by-country information on tax residence at [www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/](http://www.oecd.org/tax/automatic-exchange/crs-implementation-and-assistance/).

*(Please complete Parts 1-3 in BLOCK CAPITALS)*

**Part 1 – Identification of Individual Account Holder**

**A. Name of Account Holder:**

Family Name or Surname(s): \*

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Title:

---

First or Given Name: \*

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Middle Name(s) or initial:

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Business Name of a sole proprietorship:

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**B. Current Residence Address:**

Line 1 (e.g. House/Apt/Suite Name, Number, Street):\*

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Line 2 (e.g. Town/City/Province/County/State):\*

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Country:\*

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Postal Code/ZIP Code:\*

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**C. Mailing Address:** *(please only complete if different from the address shown in Section B)*

Line 1 (e.g. House/Apt/Suite Name, Number, Street):

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Line 2 (e.g. Town/City/Province/County/State):

---

Country:

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Postal Code/ZIP Code:

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**D. Date of Birth\*** (yyyy/mm/dd)

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**E. Place of Birth<sup>1</sup>**

Town or City of Birth

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Country of Birth

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<sup>1</sup>Complete section E (Place of birth) if required under the domestic law of the country where the account is maintained.


**CRS Self-certification Form for Individuals (Part 2)**
**Part 2 – Country of Tax Residence and related Taxpayer Identification Number (TIN) or equivalent\***

Please complete the following table indicating the Account Holder’s tax residence country or countries (*i.e. where you are treated as a resident of the country for purposes of its income tax*) and the Account Holder’s TIN (if any) for each country indicated. If the Account Holder is tax resident in more than three countries, please use a separate sheet.

If a TIN is unavailable please provide the appropriate reason **A, B or C as indicated below**:

**Reason A** - The country where the Account Holder is subject to income tax as a resident does not issue TINs

**Reason B** - The Account Holder is otherwise unable to obtain a TIN *or equivalent number (Please explain why you are unable to obtain a TIN in the below table if you have selected this reason)*

**Reason C** - No TIN is required because the tax residence jurisdiction that issued the TIN does not require a Financial Institution to collect and report the TIN.

	Country of tax residence	TIN (Taxpayer Identification Number)	If no TIN is shown, enter Reason A, B or C
1			
2			
3			

Please explain in the following boxes why you are unable to obtain a TIN if you selected Reason **B** above.

1	
2	
3	

**Part 3 – Declarations and Signature\***

1. I declare that all statements made in this declaration are, to the best of my knowledge and belief, correct and complete.
2. I acknowledge that the information provided on this Form regarding the Account Holder, as well as financial information (e.g., account balance or value, the amount of income or gross proceeds received) respecting the Financial Account(s) to which the Form is applied may be reported to the tax authorities of the country in which this/these account(s) is/are maintained and exchanged with tax authorities of another country or countries in which the Account Holder may be a tax resident pursuant to a legal agreement between the competent authorities of these countries on the automatic exchange information on Financial Accounts under the Common Reporting Standard (CRS).
3. I certify that I am the Account Holder (or am authorised to sign for the Account Holder) of all the account(s) to which this form relates
4. If there is a change in circumstances that affects the tax residence status of the Account Holder or causes the information contained herein to become incorrect or incomplete, I understand that I am obligated to inform Citi of the change in circumstances within 30 days of its occurrence and to provide a suitably updated CRS self-certification.

Signature: \*

\_\_\_\_\_  
(Signature as per Citibank's record)

Print name: \*

Date:\*

**Note:** If you are not the Account Holder but are signing this form on behalf of the Account Holder, please indicate the capacity in which you are signing the form (e.g., power of attorney, executor or administrator, parent or guardian) and provide any required documentation of your authority.

Capacity: (\*If applicable)

**Note:** The following selected definitions are provided to assist you with the completion of this form. If you have any questions about these definitions or require further detail, please contact your tax adviser.

**“Account Holder”** - The person listed or identified as the holder of a Financial Account. A person holding a Financial Account for the benefit of another person as an agent, a custodian, a nominee, a signatory, an investment advisor, an intermediary, or as a legal guardian, is not treated as the Account Holder. For example in the case of a parent/child relationship where the parent is acting as a legal guardian, the child is regarded as the Account Holder. With respect to a jointly held account, each joint holder is treated as an Account Holder. In the case of an estate, the decedent should be identified as the Account Holder.

**“Controlling Person”** - A natural person who exercise control over an entity. This definition corresponds to the term “beneficial owner” of an entity, as described in Recommendation 10 (and the Interpretative Note) of the Financial Action Task Force Recommendations (as adopted in February 2012). Where an entity Account Holder is treated as a Passive Non-Financial Entity (“NFE”), a Financial Institution must determine whether such Controlling Persons are Reportable Jurisdiction Persons. If you are a Controlling Person of a Passive NFE, you should complete a CRS self-certification for Controlling Persons instead of this Form.

**“Entity”** - A legal person or a legal arrangement, such as a corporation, organisation, partnership, trust or foundation.

**“Financial Account”** - An account maintained by a Financial Institution and includes: Depository Accounts; Custodial Accounts; an equity or debt interest in certain Investment Entities; Cash Value Insurance Contracts; and Annuity Contracts.

**“Participating Jurisdiction”** - A jurisdiction (i) with which an agreement is in place pursuant to which it will provide the information set out in the Common Reporting Standard and required for the automatic exchange of financial account information, and (ii) which is identified in a published list.

**“Reportable Jurisdiction”** - A jurisdiction (i) with which an agreement is in place pursuant to which there is an obligation to provide financial account information set forth in the Common Reporting Standard, and (ii) which is identified in a published list.

**“Reportable Jurisdiction Person”** - An individual who is tax resident in a Reportable Jurisdiction under the laws of that jurisdiction.

**“TIN”** - The Taxpayer Identification Number or a functional equivalent in the absence of a TIN. A TIN is a unique combination of letters or numbers assigned by a jurisdiction to an individual or an Entity and used to identify the individual or Entity for the purposes of administering the tax laws of such jurisdiction.

Some jurisdictions do not issue a TIN. However, these jurisdictions often utilise some other high integrity number with an equivalent level of identification (a “functional equivalent”). Examples of that type of number include, for individuals, a social security/insurance number, citizen/personal identification/service code/number and resident registration number.



## COMMON REPORTING STANDARD (CRS) - REASONABLE EXPLANATION

To: Citibank Singapore Ltd

I confirm that I am not a Tax Resident of \_\_\_\_\_ due to the following reason(s) <sup>1</sup>:  
(indicate jurisdiction/country)

- A student at an educational institution and hold the appropriate visa.
- A teacher, trainee or intern at an educational institution or a participant in an education or cultural exchange visitor program and hold the appropriate visa.
- A foreign individual assigned to diplomatic post or a position in a consulate, embassy or international organization.

<sup>1</sup> The following categories of person may be deemed tax resident in some jurisdictions/countries and you should seek advice from a qualified tax advisor if in doubt.

Please indicate if any other reason(s) from above:

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\_\_\_\_\_  
Customer Name & Signature

\_\_\_\_\_  
Date

### Bank Use Only

Customer Number:	Received by:	Signature Verified by:
	_____ Staff Name & GIED	_____ Staff Name & GIED



# SOURCE OF WEALTH ADDENDUM

## EMPLOYMENT

Employer Name

Employer Country Country of Primary Business Operation

Employment Start Date (DDMMYYYY) \_\_\_\_\_

\*Industry Classification System

\*\*Nature of Business

\*\*\*Occupation Category

\*\*\*\*Occupation Sub Category

Monthly Salary Currency Type Annual Salary Range

Is the Client Receiving any Pension/Retirement Payments?

Yes Annual Pension/Retirement Payments Currency Type

No

## SELF EMPLOYMENT (Freelance)

Countries of Primary Business Operations

\*Industry Classification System

\*\*\*Occupation Category

\*\*\*\*Occupation Sub Category

Annual Personal Income Currency Type

## BUSINESS OWNER DETAILS

Business Name

Countries of Primary Business Operations Country of Incorporation

Annual Compensation/Annual Personal Income Currency Type

\*Industry Classification System

## SPOUSE / PARENT / PARTNER

It is the Customer's responsibility to obtain the consent of his/her Spouse/Parent/Partner to collect and disclose their personal data to Citibank. By filling in this form, the Customer represents and warrants that he/she has obtained the consent of his/her Spouse/Parent/Partner to collect and disclose their personal data to Citibank.

First Name and Last Name of Spouse/Parent/Partner  
(Please underline Last Name (Surname))

Relationship to Client opening account

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent  Cousin  
 Friend  Former Spouse  Spouse  Parent  Partner

Country of Residence Date of Birth (DDMMYYYY)

Country of Citizenship Contribution Percentage  
100

Source of Wealth for Spouse/Parent/Partner (please tick where applicable)

BUSINESS INCOME/OWNERSHIP

Business Name

EMPLOYMENT

Employer Name

INHERITANCE Please select Individual or Entity

INDIVIDUAL

Relationship to Spouse/Parent/Partner

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent  
 Cousin  Friend  Former Spouse  Spouse  Parent  Partner  
Individual First Name & Last Name

ENTITY

Entity Name

INVESTMENT

Does the Client Still Own Investments?

YES Current Value of the Investment Currency Type

NO Value of Investment at the Time of Sale Currency Type

LOANS/GRANTS/SCHOLARSHIPS Please select Individual or Entity

INDIVIDUAL

Relationship to Spouse/Parent/Partner

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent  
 Cousin  Friend  Former Spouse  Spouse  Parent  Partner  
Individual First Name & Last Name

ENTITY

Entity Name

SELF EMPLOYMENT

Industry Classification System

TRUST FUND Please select Individual or Entity

Individual

Relationship to Spouse/Parent/Partner

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent  
 Cousin  Friend  Former Spouse  Spouse  Parent  Partner  
Individual First Name & Last Name

Entity

Entity Name

PERSONAL REAL ESTATE Identify Sources of Wealth for Personal Real Estate

Has the Client sold any Real Estate that Generated Capital Gain?

YES

Value of Personal Real Estate at the Time of Sale Currency Type

NO

Does the Client Own Personal Real Estate that Generates Rental Income?

YES

Annual Net Rental Income Currency Type

NO

GOVERNMENT SUPPORT

INVESTMENTS (RETIREMENT ACCOUNTS EXCLUDED)

Start Year of Investment

Does the Client Still Own Investments?

YES Current Value of the Investment Currency Type

NO Value of Investment at the Time of Sale Currency Type

PERSONAL REAL ESTATE

Original Year of Real Estate Purchase

Has the Client Sold Any Real Estate that Generated Capital Gain?

YES Value of Real Estate at the Time of Sale Currency Type

NO

# SOURCE OF WEALTH ADDENDUM

Does the Client Own Personal Real Estate that Generate Rental Income?

YES Annual Net Rental Income Currency Type

NO

## INHERITANCE / GIFT / PAYOUT

Please select Individual or Entity:

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

ENTITY

Entity Name

Value of Payment Currency Type

Country of Provider of Funds

## LOANS / GRANTS / SCHOLARSHIPS

Please select Individual or Entity:

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

ENTITY

Entity Name

Country of Provider of Funds

Value of Payment Currency Type

## TRUST FUND

Current Value of the Trust Currency Type

GRANTOR/SETTLER DETAIL *Please select Individual or Entity*

INDIVIDUAL

Relationship to Client

Son/Daughter  Brother/Sister  Uncle/Aunt  Grandparent

Cousin  Friend  Former Spouse  Spouse  Parent  Partner

Individual First Name & Last Name

ENTITY

Entity Name

Country of Provider of Funds

## GOVERNMENT SUPPORT

Yearly Income from Government Support Currency Type

Country of Government Agency

Applicant's Signature

Date

Applicant's Name

NRIC/Passport

## \*INDUSTRIAL CLASSIFICATION SYSTEM

ADULT ENTERTAINMENT - 169  
AGENTS / PROMOTERS - 076  
AIR TRAFFIC CONTROL - 155  
AIR TRANSPORTATIONS (NON FREIGHT) - 034  
AIRCRAFT / AEROSPACE / MISSILE  
MANUFACTURING - 121  
ALCOHOL STORES AND WHOLESALERS - 028  
ANIMAL FOOD MANUFACTURING - 093  
ARMS & AMMUNITION MANUFACTURING -  
127  
ARTISTS / PERFORMERS - 075  
AUTOMOTIVE AND TRANSPORTATION  
WHOLESALERS - 046  
AUTOMOTIVE SERVICES - 015  
BANKS - 008  
BRICK, STONE, MINERALS (E.G.,  
NONMETALLIC, GROUND, TREATED), EARTH /  
MANUFACTURING - 114  
BROKERS (OR DEALERS IN SECURITIES) - 052  
BROKERS IN COMMODITIES AND FUTURES -  
051  
BUILDING WHOLESALERS - 158  
CAR WASHES AND LAUNDROMATS - 014  
CARD CLUBS - 053  
CASINOS / CASINO HOTELS - 006  
CATTLE RANCHING / DAIRY FARMING /  
POULTRY / LIVESTOCK - 071  
CERAMIC, PORCELAINS, CHINA - 112  
CHARITIES, RELIGIOUS ORGANIZATIONS,  
NPO'S - 017  
CHEMICALS AND DYES/ MANUFACTURING –  
INDUSTRIAL - 109  
CHEMICALS AND DYES / MANUFACTURING -  
PHARMACEUTICAL AND AGRICULTURAL - 108  
CLAYS, CONCRETE, CEMENTS, LIME, GYPSUM /  
MANUFACTURING - 113  
CLOTHING MANUFACTURING - 102  
CLOTHING STORES - 022  
CLOTHING WHOLESALERS - 161  
COFFEE AND TEA, MILK, MALT /  
MANUFACTURERS - 098  
COMMERCIAL EQUIPMENT AND SUPPLIES  
WHOLESALERS - 160  
COMMERCIAL RENTAL AND LEASING - 134  
CONSTRUCTION - 141  
CONSUMER RENTAL AND LEASING - 132  
CONVENIENCE STORES - 027  
DELIVERY / MESSENGER SERVICES - 040  
DRY FOOD PRODUCTS - SPICES, RICE, GRAINS,  
FLOUR / MANUFACTURING - 094  
DRY PASTA MANUFACTURING - 095  
E-BUSINESS (INTERNET PRESENCE ONLY) - 049  
ELECTRONIC RESISTOR AND OTHER  
COMPUTER PERIPHERAL EQUIPMENT  
MANUFACTURING - 118  
ELECTRONIC STORES AND RETAILERS - 026  
ELECTRONICS, COMMUNICATIONS  
EQUIPMENT MANUFACTURING - 117  
EMBASSY'S CONSULATES AND MISSIONS - 012  
EXPLOSIVES MANUFACTURERS - 013  
FARM PRODUCTS - 156  
FISHING / AQUATIC RANCHING - 072  
FLIGHT TRAINING SCHOOLS - 007  
FLORISTS AND HOME BUILDING - 152  
FLOWER SHOPS - 023  
FOOD PRODUCT STORES - 151  
FOOD PRODUCTS AND FARM / LIVESTOCK  
WHOLESALERS - 162  
FOOD STORES (CASH INTENSIVE) - 021  
FORESTRY / TIMBER - 000  
FREIGHT TRANSPORTATION - 036  
FRUIT VEGETABLE FARMING - 070  
FUNDS (EXCLUDING HEDGE FUNDS), TRUSTS  
(EXCLUDING FIDUCIARY AND CUSTODIAL)  
AND ESTATES - 144  
FUNDS-CLOSED END FUND - 061  
FUNDS-FEEDER FUND - 058  
FUNDS-HEDGE FUND - 055  
FUNDS-MUTUAL FUND - 054  
FUNDS-OPEN ENDED FUND - 060  
FUNDS-PRIVATE EQUITY FUND - 056  
FUNDS-SICAV - 059  
FUNDS-VENTURE CAPITAL FUND - 057  
GAMBLING FACILITIES (NON CASINOS) - 005  
GLASS, NON PRECIOUS MINERALS AND  
ABRASIVE PRODUCTS MANUFACTURING -  
111  
HEALTH SERVICES / ASSISTANCE - 084  
HOME FURNISHING WHOLESALERS - 157  
HOTEL / MOTEL / CAMPING / CATERING  
SERVICES - 136  
HOUSEHOLD APPLIANCES MANUFACTURING  
- 119  
HOUSEHOLD ITEMS AND DECOR STORES -  
150  
HOUSEHOLD VACUUM MANUFACTURING  
AND KITCHEN UTENSIL AND POT/PAN  
MANUFACTURING - 120  
INSURANCE P&C, HEALTH/MEDICAL, CLAIMS  
ADJUSTING AND REINSURANCE - 143  
INSURANCE: PERMANENT LIFE OR  
ANNUITIES - 168  
LAWYERS / ACCOUNTING OFFICES AND  
SERVICES - 018  
LOAN MODIFICATION COMPANY - 063  
LOAN/FINANCE COMPANIES - 062  
LUXURY RETAIL STORES - 033  
MACHINERY TOOLS / EQUIPMENT  
MANUFACTURING - 116  
MAIL ORDER HOUSES, USED MERCHANDISE  
STORES - 031  
MANAGEMENT OF COMPANIES AND  
ENTERPRISES - 146  
MANUFACTURING (CASH INTENSIVE) - 044  
MEDICAL RELATED HEALTH CARE - 082  
METALS / MINERALS / GEMS - 047  
MINING - 130  
MISCELLANEOUS ADMINISTRATIVE AND  
MATERIAL RECOVERY SERVICES - 140  
MISCELLANEOUS BUSINESS SUPPORT  
FUNCTIONS (E.G., LABELING SERVICES,  
TRADESHOW ORGANIZERS) - 069  
MISCELLANEOUS EDUCATION AND  
TRAINING - 081  
MISCELLANEOUS FARM AND ANIMAL  
MANAGEMENT AND SERVICES - 074  
MISCELLANEOUS RETAIL (CASH INTENSIVE -  
025  
MISCELLANEOUS RETAIL STORES (E.G.,  
SPORTING GOODS, HOBBIES, BOOKS,  
NOVELTIES, INSTRUMENTS) - 154  
MONEY SERVICE BUSINESS (MSB)/THIRD  
PARTY PAYMENT PROCESSOR - 010  
MOTOR VEHICLE PARTS  
MANUFACTURING - 124  
MOTOR VEHICLES MANUFACTURING -  
125  
NIGHTCLUBS AND BARS/PUBS - 067  
NON - DRY FOOD PRODUCTS /SNACK  
FOOD PRODUCTS MANUFACTURING -  
096  
NON ACCOUNTING/ LEGAL SERVICES -  
019  
NON BANK FINANCIAL INSTITUTIONS -  
009  
OFFICE AND BUSINESS SUPPORT  
SERVICES - 138  
OILSEED / FRESH AND FROZEN SEAFOOD  
PROCESSING AND CANE SUGAR REFINING  
- 097  
OPERATORS OF CREDIT CARD SYSTEMS -  
065  
OTHER INFORMATION SERVICES (E.G.,  
LIBRARIES, DATA PROCESSING, PAGING,  
ETC.) - 092  
OTHER MANUFACTURING - 129  
OTHER MISCELLANEOUS WHOLESALERS -  
165  
OTHER SERVICES (EXCEPT PUBLIC  
ADMINISTRATION) - 147  
OTHER TRANSPORTATION VEHICLES AND  
PARTS MANUFACTURING - 126  
PAPER GOODS AND WRITTEN MATERIALS  
WHOLESALERS - 164  
PAPER PRODUCT MILLS /  
MANUFACTURING FINISHING - 103  
PAPER PRODUCT MILLS /  
MANUFACTURING PRE - FINISHING - 104  
PARKING LOTS AND GARAGES - 016  
PAWN SHOPS - 032  
PERSONAL CARE ITEMS (CASH INTENSIVE)  
- 024  
PERSONAL CARE STORES - 153  
PETROLEUM (REFINERIES AND  
MANUFACTURERS) - 107  
PETROLEUM DISTRIBUTION (E.G.,  
STATIONS, TERMINALS, DEALERS,  
WHOLESALERS) - 048  
PIPELINE TRANSPORTATION - 039  
PLASTICS AND RUBBER /  
MANUFACTURING - 110  
POST OFFICE - 042  
PRE -GRAD / GRAD LEVEL EDUCATION -  
079  
PRECIOUS METAL/STONES OR JEWELS  
COMPANIES - 064  
PRINTING FINISHING (BOOKS) - 105  
PRINTING PRE - FINISHING - 106  
PROFESSIONAL MEDICAL OFFICES - 083  
PROFESSIONAL OFFICE EQUIPMENT AND  
SUPPLIES WHOLESALERS - 159  
PROFESSIONAL, SCIENTIFIC AND  
TECHNICAL SERVICES - 131  
PROFESSIONAL, SCIENTIFIC AND  
TECHNICAL SERVICES - 148  
PROPERTY MAINTENANCE (INDOOR /  
OUTDOOR) - 068  
PUBLIC ADMINISTRATION - 149  
PUBLISHING - 086  
RAILROAD TRANSPORTATION (NON -  
PASSENGER) - 037  
REAL ESTATE AND PROPERTY  
RENTAL / LEASING - 133  
RECORDING / PRODUCTION - 088  
RECREATIONAL FACILITIES/SITES -  
078  
RECREATIONAL WHOLESALERS - 163  
REGULATORY BODIES INCLUDING  
CENTRAL BANKS - 142  
RESTAURANTS / SNACK AND  
BEVERAGE PLACES - 001  
ROAD TRANSPORTATION - 038  
SATELLITE / INTERNET / CABLE - 091  
SCENIC AND SIGHTSEEING - 041  
SECURITIZATION AND OTHER  
PRODUCT PROGRAMS - 145  
SECURITY RELATED SERVICES - 139  
SOCIAL SERVICES - 085  
SOFT DRINK, WINERIES,  
BREWERIES, DISTILLERIES/  
MANUFACTURERS - 099  
SPORTS TEAMS AND CLUBS - 077  
STAFFING SERVICES - 137  
STEEL, ALUMINUM, OTHER NON  
PRECIOUS  
METALS/MANUFACTURING - 115  
TELECOM AND WIRELESS CARRIERS  
- 089  
TELECOM RESELLERS AND OTHERS -  
090  
TELEMARKETING BUREAUS AND  
OTHER CONTACT CENTERS - 002  
TEXTILES MILLS / MANUFACTURING  
FINISHING - 100  
TEXTILES MILLS / MANUFACTURING  
PRE-FINISHING - 101  
THEATER COMPANIES AND DINNER  
THEATERS - 166  
THIRD PARTY PAYMENT  
PROCESSORS - 200  
TIMBER MANUFACTURING/NON  
FURNITURE WOODWORK  
MANUFACTURING - 123  
TOBACCO GROWING AND  
MANUFACTURING - 128  
TOBACCO STORES AND  
WHOLESALERS - 029  
TRADE SCHOOLS - 080  
TRAVEL AND TOUR  
ARRANGEMENTS - 003  
TV/RADIO MOVIES - 087  
UTILITIES - 135  
VEHICLE DEALERS / STORES - 020  
Vehicle Rental and Leasing - 045  
Vending Machine Operators - 030  
WAREHOUSING AND STORAGE -  
045  
WASTE HANDLING - 004  
WATER TRANSPORTATION (NON  
FREIGHT) - 035  
WEALTH HOLDING VEHICLES - 011  
WHOLESALE OTHER (E.G, MILITARY  
AIRCRAFT, B TO B ELECTRONIC  
WHOLESALERS, TRADE AGENCIES  
AND BROKERS, RECYCLE MATERIAL  
WHOLESALERS) - 050  
WOOD FURNITURE  
MANUFACTURING - 122

**\*\*Nature of Business**

- |  |                                       |  |  |
|--|---------------------------------------|--|--|
| 3003- Air cargo / Freight forwarding   | 6022- Employment agencies             | 1024- Insurance                          | 7048- Others - Retail                          |
| 3005- Airline - Commercial / Aviation  | 6043- Engineering services and works  | 6016- IT solution providers / Web design | 4006- Perty-related/construction               |
| 8019- Auditing / Accounting            | 6018- Entertainment business          | 3043- Land transport / Movers            | 4009- Property-related services                |
| 7032- Auto industry                    | 7023- F&B / Restaurants / Hawkers     | 8027- Legal                              | 7034- Retail stores                            |
| 1010- Banking / Financial institutions | 7038- General trading / Distributors  | 6025- Media / Publishing company         | 5040- Student w/Govt<br>NUS/NTU/SMU/SIM        |
| 2035- Chemical oil / gas               | 5013- Government bodies stat boards   | 8028- Medical / Dental (Hosp/clinics)    | 5015- Student with others / Unknown<br>schools |
| 1011- Commodity / Broking houses       | 6029- Healthcare / Beauty centre      | 9030- Misc- others, Foreign govt         | 6017- Telecommunication                        |
| 6040- Education / Private schools      | 1019- Holdings co. & Investments co.  | 2048- Others - Manufacturing             | 6038- Travel agencies                          |
| 2042- Electronic                       | 6023- Hotel / Resorts / Country clubs | 6048- Others - Printing services         | 3022- Warehousing / Packaging                  |

**\*\*\*Occupation Category**

1. Architecture and Engineering	2. Arts, Design, Entertainment, Sports, and Media	3. Building and Grounds Cleaning and Maintenance	4. Business and Financial Operations	5. Community and Social Service	6. Computer and Mathematical	7. Construction and Extraction	8. Education, Training, and Library
9. Entrepreneur	10. Farming, Fishing, and Forestry	11. Food Preparation and Service Related	12. Foreign Service	13. Healthcare Practitioners and Technical	14. Healthcare Support	15. Homemaker	16. Installation, Maintenance and Repair
17. Legal	18. Life, Physical, and Social Science	19. Management	20. Manager	21. Military Personnel	22. Minor	23. Office and Administrative Support	24. Personal Care and Service
25. Production	26. Protective Service	27. Public Service	28. Retired	29. Sales and Related	30. Self-Employed Individual	31. Student	32. Supervisor
33. Transportation and Material Moving	34. Unemployed						

**\*\*\*\*Occupation Sub Category**

**1. Architecture and Engineering, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |  |  |   |   |
|--|--|---|---|
| 1 Aerospace Engineering and Operations Technologists and Technicians | 10 Civil Engineering Technologists and Technicians                     | 18 Electronics Engineers, Except Computer                                     | 27 Marine Engineers and Naval Architects                              |
| 2 Aerospace Engineers  | 11 Civil Engineers   | 19 Engineering Technologists and Technicians, Except Drafters, All Other      | 28 Materials Engineers  |
| 3 Agricultural Engineers   | 12 Computer Hardware Engineers   | 20 Engineers, All Other   | 29 Mechanical Drafters  |
| 4 Architects, Except Landscape and Naval                             | 13 Drafters, All Other   | 21 Environmental Engineering Technologists and Technicians                    | 30 Mechanical Engineering Technologists and Technicians               |
| 5 Architectural and Civil Drafters                                   | 14 Electrical and Electronic Engineering Technologists and Technicians | 22 Environmental Engineers  | 31 Mechanical Engineers   |
| 6 Biomedical Engineers   | 15 Electrical and Electronics Drafters                                 | 23 Health and Safety Engineers, Except Mining Safety Engineers and Inspectors | 32 Mining and Geological Engineers, Including Mining Safety Engineers |
| 7 Calibration Technologists and Technicians                          | 16 Electrical Engineers  | 24 Industrial Engineering Technologists and Technicians                       | 33 Nuclear Engineers  |
| 8 Cartographers and Photogrammetrists                                | 17 Electro-Mechanical and Mechatronics Technologists and Technicians   | 25 Industrial Engineers   | 34 Petroleum Engineers  |
| 9 Chemical Engineers   |  | 26 Landscape Architects   | 35 Surveying and Mapping Technicians                                  |
|  |  |   | 36 Surveyors  |

**2. Arts, Design, Entertainment, Sports, and Media, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |   |   |  |  |
|---|---|--|--|
| 1 Actors  | 11 Coaches and Scouts   | 22 Fine Artists, Including Painters, Sculptors, and Illustrators | 33 Photographers                                 |
| 2 Art Directors   | 12 Commercial and Industrial Designers                                | 23 Floral Designers  | 34 Producers and Directors                       |
| 3 Artists and Related Workers, All Other                  | 13 Court Reporters and Simultaneous Captioners                        | 24 Graphic Designers   | 35 Public Relations Specialists                  |
| 4 Athletes and Sports Competitors                         | 14 Craft Artists  | 25 Interior Designers  | 36 Set and Exhibit Designers                     |
| 5 Audio and Video Technicians                             | 15 Dancers  | 26 Interpreters and Translators                                  | 37 Sound Engineering Technicians                 |
| 6 Broadcast Announcers                                    | 16 Designers, All Other   | 27 Lighting Technicians  | 38 Special Effects Artists and Animators         |
| 7 Broadcast News Analysts and Broadcast Reporters         | 17 Disc Jockeys, Except Radio Disc Jockeys                            | 28 Media and Communication Equipment Workers, All Other          | 39 Technical Writers                             |
| 8 Broadcast Technicians                                   | 18 Editors  | 29 Media and Communication Workers, All Other                    | 40 Umpires, Referees, and Other Sports Officials |
| 9 Camera Operators, Television, Video, and Motion Picture | 19 Entertainers and Performers, Sports and Related Workers, All Other | 30 Merchandise Displayers and Window Trimmers                    | 41 Writers, Authors, and Journalists             |
| 10 Choreographers   | 20 Fashion Designers  | 31 Music Directors and Composers                                 |  |
|   | 21 Film and Video Editors   | 32 Musicians and Singers   |  |

**3. Building and Grounds Cleaning and Maintenance, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |   |   |   |   |
|---|---|---|---|
| 1 Building Cleaning Workers, All Other                          | 3 First-Line Supervisors of Landscaping, Lawn Service, and Groundskeeping Workers | 5 Janitors and Cleaners, Except Maids and Housekeeping Cleaners | 9 Pesticide Handlers, Sprayers, and Applicators, Vegetation |
| 2 First-Line Supervisors of Housekeeping and Janitorial Workers | 4 Grounds Maintenance Workers, All Other  | 6 Landscaping and Groundskeeping Workers                        | 10 Tree Trimmers and Pruners                                |
|   |   | 7 Maids and Housekeeping Cleaners                               |   |
|   |   | 8 Pest Control Workers  |   |

**4. Business and Financial Operations, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |   |  |   |   |
|---|--|---|---|
| 1 Accountants and Auditors  | 8 Claims Adjusters, Examiners, and Investigators       | 19 Fundraisers  | 30 Project Management Specialists                                 |
| 2 Agents and Business Managers of Artists, Performers, and Athletes | 9 Compensation, Benefits, and Job Analysis Specialists | 20 Human Resources Specialists                        | 31 Purchasing Agents, Except Wholesale, Retail, and Farm Products |
| 3 Appraisers and Assessors of Real Estate                           | 10 Compliance Officers                                 | 21 Insurance Appraisers, Auto Damage                  | 32 Sustainability Analysts  |
| 4 Appraisers of Personal and Business Property                      | 11 Cost Estimators                                     | 22 Insurance Underwriters                             | 33 Tax Examiners and Collectors, and Revenue Agents               |
| 5 Budget Analysts   | 12 Credit Analysts                                     | 23 Labor Relations Specialists                        | 34 Tax Preparers  |
| 6 Business Operations Specialists, All Other                        | 13 Credit Counselors                                   | 24 Loan Officers                                      | 35 Training and Development Specialists                           |
| 7 Buyers and Purchasing Agents, Farm Products                       | 14 Farm Labor Contractors                              | 25 Logisticians                                       | 36 Wholesale and Retail Buyers, Except Farm Products              |
|   | 15 Financial and Investment Analysts                   | 26 Management Analysts                                |   |
|   | 16 Financial Examiners                                 | 27 Market Research Analysts and Marketing Specialists |   |
|   | 17 Financial Risk Specialists                          | 28 Meeting, Convention, and Event Planners            |   |
|   | 18 Financial Specialists, All Other                    | 29 Personal Financial Advisors                        |   |

**5. Community and Social Service, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |   |   |  |   |
|---|---|--|---|
| 1 Child, Family, and School Social Workers            | 6 Directors, Religious Activities and Education             | 11 Mental Health and Substance Abuse Social Workers          | 15 Religious Workers, All Other                       |
| 2 Clergy  | 7 Educational, Guidance, and Career Counselors and Advisors | 12 Mental Health Counselors                                  | 16 Social and Human Service Assistants                |
| 3 Community and Social Service Specialists, All Other | 8 Health Educators  | 13 Probation Officers and Correctional Treatment Specialists | 17 Social Workers, All Other                          |
| 4 Community Health Workers                            | 9 Healthcare Social Workers                                 | 14 Rehabilitation Counselors                                 | 18 Substance Abuse and Behavioral Disorder Counselors |
| 5 Counselors, All Other                               | 10 Marriage and Family Therapists                           |  |   |

**6. Computer and Mathematical, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |  |                                     |  |  |
|--|-------------------------------------|--|--|
| 1 Actuaries                                    | 6 Computer Programmers              | 13 Mathematical Science Occupations, All Other | 18 Software Developers                             |
| 2 Computer and Information Research Scientists | 7 Computer Systems Analysts         | 14 Mathematical Technicians                    | 19 Software Quality Assurance Analysts and Testers |
| 3 Computer Network Architects                  | 8 Computer User Support Specialists | 15 Mathematicians                              | 20 Statisticians                                   |
| 4 Computer Network Support Specialists         | 9 Data Scientists                   | 16 Network and Computer Systems Administrators | 21 Web Developers                                  |
| 5 Computer Occupations, All Other              | 10 Database Administrators          | 17 Operations Research Analysts                | 22 Web or Digital Interface Designers              |
|  | 11 Database Integration Architects  |  |  |
|  | 12 Information Security Analysts    |  |  |

**7. Construction and Extraction, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

- |  |  |   |  |
|--|--|---|--|
| 1 Boilermakers   | 16 Explosives Workers, Ordnance Handling Experts, and Blasters                 | 30 Helpers--Pipelayers, Plumbers, Pipefitters, and Steamfitters   | 45 Reinforcing Iron and Rebar Workers              |
| 2 Brickmasons and Blockmasons  | 17 Extraction Workers, All Other   | 31 Helpers--Roofers   | 46 Rock Splitters, Quarry                          |
| 3 Carpenters   | 18 Fence Erectors  | 32 Highway Maintenance Workers                                    | 47 Roof Bolters, Mining                            |
| 4 Carpet Installers  | 19 First-Line Supervisors of Construction Trades and Extraction Workers        | 33 Insulation Workers, Floor, Ceiling, and Wall                   | 48 Roofers   |
| 5 Cement Masons and Concrete Finishers                                   | 20 Floor Layers, Except Carpet, Wood, and Hard Tiles                           | 34 Insulation Workers, Mechanical                                 | 49 Rotary Drill Operators, Oil and Gas             |
| 6 Construction and Building Inspectors                                   | 21 Floor Sanders and Finishers   | 35 Loading and Moving Machine Operators, Underground Mining       | 50 Roustabouts, Oil and Gas                        |
| 7 Construction and Related Workers, All Other                            | 22 Glaziers  | 36 Operating Engineers and Other Construction Equipment Operators | 51 Segmental Pavers                                |
| 8 Construction Laborers  | 23 Hazardous Materials Removal Workers   | 37 Painters, Construction and Maintenance                         | 52 Septic Tank Servicers and Sewer Pipe Cleaners   |
| 9 Continuous Mining Machine Operators                                    | 24 Helpers, Construction Trades, All Other                                     | 38 Paperhangers   | 53 Service Unit Operators, Oil and Gas             |
| 10 Derrick Operators, Oil and Gas  | 25 Helpers--Brickmasons, Blockmasons, Stonemasons, and Tile and Marble Setters | 39 Paving, Surfacing, and Tamping Equipment Operators             | 54 Sheet Metal Workers                             |
| 11 Drywall and Ceiling Tile Installers                                   | 26 Helpers--Carpenters   | 40 Pile-Driver Operators  | 55 Solar Photovoltaic Installers                   |
| 12 Earth Drillers, Except Oil and Gas                                    | 27 Helpers--Electricians   | 41 Pipelayers   | 56 Stonemasons                                     |
| 13 Electricians  | 28 Helpers--Extraction Workers   | 42 Plasterers and Stucco Masons                                   | 57 Structural Iron and Steel Workers               |
| 14 Elevator Installers and Repairers                                     | 29 Helpers--Painters, Paperhangers, Plasterers, and Stucco Masons              | 43 Plumbers, Pipefitters, and Steamfitters                        | 58 Tapers  |
| 15 Excavating and Loading Machine and Dragline Operators, Surface Mining |  | 44 Rail-Track Laying and Maintenance Equipment Operators          | 59 Terrazzo Workers and Finishers                  |
|  |  |   | 60 Tile and Stone Setters                          |
|  |  |   | 61 Underground Mining Machine Operators, All Other |

**8. Education, Training, and Library, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Adult Basic, Adult Secondary, and English as a Second Language Instructors	16 Computer Science Teachers, Postsecondary	31 Grade 9-12 Teachers, Except Special and Career/Technical Education	49 Recreation and Fitness Studies Teachers, Postsecondary
2 Agricultural Sciences Teachers, Postsecondary	17 Criminal Justice and Law Enforcement Teachers, Postsecondary	32 Health Specialties Teachers, Postsecondary	50 Self-Enrichment Teachers
3 Anthropology and Archeology Teachers, Postsecondary	18 Curators	33 History Teachers, Postsecondary	51 Social Sciences Teachers, Postsecondary, All Other
4 Architecture Teachers, Postsecondary	19 Economics Teachers, Postsecondary	34 Instructional Coordinators	52 Social Work Teachers, Postsecondary
5 Archivists	20 Education Teachers, Postsecondary	35 Kindergarten Teachers, Except Special Education	53 Sociology Teachers, Postsecondary
6 Area, Ethnic, and Cultural Studies Teachers, Postsecondary	21 Educational Instruction and Library Workers, All Other	36 Law Teachers, Postsecondary	54 Special Education Teachers, All Other
7 Art, Drama, and Music Teachers, Postsecondary	22 Engineering Teachers, Postsecondary	37 Librarians and Media Collections Specialists	55 Special Education Teachers, Grade 1-8
8 Atmospheric, Earth, Marine, and Space Sciences Teachers, Postsecondary	23 English Language and Literature Teachers, Postsecondary	38 Library Science Teachers, Postsecondary	56 Special Education Teachers, Grade 9-12
9 Biological Science Teachers, Postsecondary	24 Environmental Science Teachers, Postsecondary	39 Library Technicians	57 Special Education Teachers, Kindergarten
10 Business Teachers, Postsecondary	25 Family and Consumer Sciences Teachers, Postsecondary	40 Mathematical Science Teachers, Postsecondary	58 Special Education Teachers, Pre-Kindergarten
11 Career/Technical Education Teachers, Grade 1-8	26 Farm and Home Management Educators	41 Museum Technicians and Conservators	59 Substitute Teachers, Short-Term
12 Career/Technical Education Teachers, Grade 9-12	27 Foreign Language and Literature Teachers, Postsecondary	42 Nursing Instructors and Teachers, Postsecondary	60 Teachers and Instructors, All Other
13 Career/Technical Education Teachers, Postsecondary	28 Forestry and Conservation Science Teachers, Postsecondary	43 Philosophy and Religion Teachers, Postsecondary	61 Teaching Assistants, All Other
14 Chemistry Teachers, Postsecondary	29 Geography Teachers, Postsecondary	44 Physics Teachers, Postsecondary	62 Teaching Assistants, Postsecondary
15 Communications Teachers, Postsecondary	30 Grade 1-8 Teachers, Except Special and Career/Technical Education	45 Political Science Teachers, Postsecondary	63 Teaching Assistants, Preschool through Grade 12
		46 Postsecondary Teachers, All Other	64 Tutors
		47 Pre-kindergarten Teachers, Except Special Education	
		48 Psychology Teachers, Postsecondary	

**10. Farming, Fishing, and Forestry, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Agricultural Equipment Operators	6 Farmworkers and Laborers, Crop, Nursery, and Greenhouse	9 Fishers and Related Fishing Workers	13 Log Graders and Scalers
2 Agricultural Inspectors	7 Farmworkers, Farm, Ranch, and Aquacultural Animals	10 Forest and Conservation Workers	14 Logging Equipment Operators
3 Agricultural Workers, All Other	8 First-Line Supervisors of Farming, Fishing, and Forestry Workers	11 Graders and Sorters, Agricultural Products	15 Logging Workers, All Other
4 Animal Breeders		12 Hunters and Trappers	
5 Fallers			

**11. Food Preparation and Service Related, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Bartenders	6 Cooks, Private Household	11 Fast Food and Counter Workers	15 Food Servers, Nonrestaurant
2 Chefs and Head Cooks	7 Cooks, Restaurant	12 First-Line Supervisors of Food Preparation and Serving Workers	16 Hosts and Hostesses, Restaurant, Lounge, and Coffee Shop
3 Cooks, All Other	8 Cooks, Short Order	13 Food Preparation and Serving Related Workers, All Other	17 Waiters and Waitresses
4 Cooks, Fast Food	9 Dining Room and Cafeteria Attendants and Bartender Helpers	14 Food Preparation Workers	
5 Cooks, Institution and Cafeteria	10 Dishwashers		

**13. Healthcare Practitioners and Technical, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Acupuncturists	20 Genetic Counselors	32 Neurologists	55 Podiatrists
2 Anesthesiologists	21 Health Information Technology, Health Information Management, and Health Informatics Specialists and Analysts	33 Nuclear Medicine Technologists	56 Prosthodontists
3 Athletic Trainers	22 Health Technologists and Technicians, All Other	34 Nurse Anesthetists	57 Psychiatric Technicians
4 Audiologists	23 Healthcare Diagnosing or Treating Practitioners, All Other	35 Nurse Midwives	58 Psychiatrists
5 Cardiologists	24 Healthcare Practitioners and Technical Workers, All Other	36 Nurse Practitioners	59 Radiation Therapists
6 Cardiovascular Technologists and Technicians	25 Hearing Aid Specialists	37 Obstetricians and Gynecologists	60 Radiologic Technologists
7 Chiropractors	26 Licensed Practical and Licensed Vocational Nurses	38 Occupational Therapists	61 Radiologists
8 Dental Hygienists	27 Magnetic Resonance Imaging Technologists	39 Ophthalmic Medical Technicians	62 Recreational Therapists
9 Dentists, All Other Specialists	28 Medical and Clinical Laboratory Technicians	40 Ophthalmologists	63 Registered Nurses
10 Dentists, General	29 Medical and Clinical Laboratory Technologists	41 Opticians, Dispensing	64 Respiratory Therapists
11 Dermatologists	30 Medical Dosimetrists	42 Optometrists	65 Speech-Language Pathologists
12 Diagnostic Medical Sonographers	31 Medical Registrar and Records Specialists	43 Oral and Maxillofacial Surgeons	66 Surgeons, All Other
13 Dietetic Technicians		44 Orthodontists	67 Surgeons, Pediatric
14 Dietitians and Nutritionists		45 Orthopaedic Surgeons	68 Surgical Assistants
15 Emergency Medical Technicians		46 Orthotists and Prosthetists	69 Surgical Technologists
16 Emergency Medicine Physicians		47 Paramedics	70 Therapists, All Other
17 Exercise Physiologists		48 Pediatricians, General	71 Veterinarians
18 Family Medicine Physicians		49 Pharmacists	72 Veterinary Technologists and Technicians
19 General Internal Medicine Physicians		50 Pharmacy Technicians	
		51 Physical Therapists	
		52 Physician Assistants	
		53 Physicians, All Other	
		54 Physicians, Pathologists	



**14. Healthcare Support, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Dental Assistants	5 Medical Assistants	10 Occupational Therapy Assistants	15 Physical Therapist Assistants
2 Healthcare Support Workers, All Other	6 Medical Equipment Preparers	11 Orderlies	16 Psychiatric Aides
3 Home Health Aides	7 Medical Transcriptionists	12 Pharmacy Aides	17 Veterinary Assistants and Laboratory Animal Caretakers
4 Massage Therapists	8 Nursing Assistants	13 Phlebotomists	
5 Medical Assistants	9 Occupational Therapy Aides	14 Physical Therapist Aides	

**16. Installation, Maintenance, and Repair, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Aircraft Mechanics and Service Technicians	14 Electrical and Electronics Installers and Repairers, Transportation Equipment	24 Helpers--Installation, Maintenance, and Repair Workers	39 Precision Instrument and Equipment Repairers, All Other
2 Automotive Body and Related Repairers	15 Electrical and Electronics Repairers, Commercial and Industrial Equipment	25 Home Appliance Repairers	40 Radio, Cellular, and Tower Equipment Installers and Repairers
3 Automotive Glass Installers and Repairers	16 Electrical and Electronics Repairers, Powerhouse, Substation, and Relay	26 Industrial Machinery Mechanics and Millwrights	41 Rail Car Repairers
4 Automotive Service Technicians and Mechanics	17 Electrical Power-Line Installers and Repairers	27 Installation, Maintenance, and Repair Workers, All Other	42 Recreational Vehicle Service Technicians
5 Avionics Technicians	18 Electronic Equipment Installers and Repairers, Motor Vehicles	28 Locksmiths and Safe Repairers	43 Refractory Materials Repairers, Except Brickmasons
6 Bicycle Repairers	19 Electronic Home Entertainment Equipment Installers and Repairers	29 Maintenance and Repair Workers, General	44 Riggers
7 Bus and Truck Mechanics and Diesel Engine Specialists	20 Fabric Menders, Except Garment	30 Maintenance Workers, Machinery	45 Security and Fire Alarm Systems Installers
8 Camera and Photographic Equipment Repairers	21 Farm Equipment Mechanics and Service Technicians	31 Manufactured Building and Mobile Home Installers	46 Signal and Track Switch Repairers
9 Coin, Vending, and Amusement Machine Servicers and Repairers	22 First-Line Supervisors of Mechanics, Installers, and Repairers	32 Mechanical Door Repairers	47 Telecommunications Equipment Installers and Repairers, Except Line Installers
10 Commercial Divers	23 Heating, Air Conditioning, and Refrigeration Mechanics and Installers	33 Medical Equipment Repairers	48 Telecommunications Line Installers and Repairers
11 Computer, Automated Teller, and Office Machine Repairers		34 Mobile Heavy Equipment Mechanics, Except Engines	49 Tire Repairers and Changers
12 Control and Valve Installers and Repairers, Except Mechanical Door		35 Motorboat Mechanics and Service Technicians	50 Watch Repairers
13 Electric Motor, Power Tool, and Related Repairers		36 Motorcycle Mechanics	51 Wind Turbine Service Technicians
		37 Musical Instrument Repairers and Tuners	
		38 Outdoor Power Equipment and Other Small Engine Mechanics	

**17. Legal, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Administrative Law Judges, Adjudicators, and Hearing Officers	3 Judges, Magistrate Judges, and Magistrates	5 Lawyers	7 Paralegals and Legal Assistants
2 Arbitrators, Mediators, and Conciliators	4 Judicial Law Clerks	6 Legal Support Workers, All Other	8 Title Examiners, Abstractors, and Searchers

**18. Life, Physical, and Social Science, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Agricultural Technicians	14 Environmental Science and Protection Technicians, Including Health	24 Geoscientists, Except Hydrologists and Geographers	36 Occupational Health and Safety Technicians
2 Animal Scientists	15 Environmental Scientists and Specialists, Including Health	25 Historians	37 Physical Scientists, All Other
3 Anthropologists and Archeologists	16 Epidemiologists	26 Hydrologic Technicians	38 Physicists
4 Astronomers	17 Food Science Technicians	27 Hydrologists	39 Political Scientists
5 Atmospheric and Space Scientists	18 Food Scientists and Technologists	28 Industrial-Organizational Psychologists	40 Psychologists, All Other
6 Biochemists and Biophysicists	19 Forensic Science Technicians	29 Life Scientists, All Other	41 School Psychologists
7 Biological Scientists, All Other	20 Forest and Conservation Technicians	30 Life, Physical, and Social Science Technicians, All Other	42 Social Science Research Assistants
8 Biological Technicians	21 Foresters	31 Materials Scientists	43 Social Scientists and Related Workers, All Other
9 Chemical Technicians	22 Geographers	32 Medical Scientists, Except Epidemiologists	44 Sociologists
10 Chemists	23 Geological Technicians, Except Hydrologic Technicians	33 Microbiologists	45 Soil and Plant Scientists
11 Clinical and Counseling Psychologists	24 Geoscientists, Except Hydrologists and Geographers	34 Nuclear Technicians	46 Survey Researchers
12 Conservation Scientists		35 Occupational Health and Safety Specialists	47 Urban and Regional Planners
13 Economists			48 Zoologists and Wildlife Biologists



**19. Management, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Administrative Services Managers	9 Education Administrators, Kindergarten through Grade 12	16 Financial Managers	30 Personal Service Managers, All Other
2 Advertising and Promotions Managers	10 Education Administrators, Postsecondary	17 Food Service Managers	31 Postmasters and Mail Superintendents
3 Architectural and Engineering Managers	11 Education and Childcare Administrators, Preschool and Day Care	18 Fundraising Managers	32 Property, Real Estate, and Community Association Managers
4 Chief Executives	12 Emergency Management Directors	19 Funeral Home Managers	33 Public Relations Managers
5 Compensation and Benefits Managers	13 Entertainment and Recreation Managers, Except Gambling	20 Gambling Managers	34 Purchasing Managers
6 Computer and Information Systems Managers	14 Facilities Managers	21 General and Operations Managers	35 Sales Managers
7 Construction Managers	15 Farmers, Ranchers, and Other Agricultural Managers	22 Human Resources Managers	36 Social and Community Service Managers
8 Education Administrators, All Other		23 Industrial Production Managers	37 Training and Development Managers
		24 Legislators	38 Transportation, Storage, and Distribution Managers
		25 Lodging Managers	
		26 Managers, All Other	
		27 Marketing Managers	
		28 Medical and Health Services Managers	
		29 Natural Sciences Managers	

**21. Military Personnel, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Air Crew Members	6 Armored Assault Vehicle Officers	11 First-Line Supervisors of Air Crew Members	16 Military Enlisted Tactical Operations and Air/Weapons Specialists and Crew Members, All Other
2 Air Crew Officers	7 Artillery and Missile Crew Members	12 First-Line Supervisors of All Other Tactical Operations Specialists	17 Military Officer Special and Tactical Operations Leaders, All Other
3 Aircraft Launch and Recovery Officers	8 Artillery and Missile Officers	13 First-Line Supervisors of Weapons Specialists/Crew Members	18 Special Forces
4 Aircraft Launch and Recovery Specialists	9 Command and Control Center Officers	14 Infantry	19 Special Forces Officers
5 Armored Assault Vehicle Crew Members	10 Command and Control Center Specialists	15 Infantry Officers	

**23. Office and Administrative Support, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Bill and Account Collectors	16 Executive Secretaries and Executive Administrative Assistants	30 Medical Secretaries and Administrative Assistants	45 Receptionists and Information Clerks
2 Billing and Posting Clerks	17 File Clerks	31 Meter Readers, Utilities	46 Reservation and Transportation Ticket Agents and Travel Clerks
3 Bookkeeping, Accounting, and Auditing Clerks	18 Financial Clerks, All Other	32 New Accounts Clerks	47 Secretaries and Administrative Assistants, Except Legal, Medical, and Executive
4 Brokerage Clerks	19 First-Line Supervisors of Office and Administrative Support Workers	33 Office and Administrative Support Workers, All Other	48 Shipping, Receiving, and Inventory Clerks
5 Cargo and Freight Agents	20 Gambling Cage Workers	34 Office Clerks, General	49 Statistical Assistants
6 Communications Equipment Operators, All Other	21 Hotel, Motel, and Resort Desk Clerks	35 Office Machine Operators, Except Computer	50 Switchboard Operators, Including Answering Service
7 Correspondence Clerks	22 Human Resources Assistants, Except Payroll and Timekeeping	36 Order Clerks	51 Telephone Operators
8 Couriers and Messengers	23 Information and Record Clerks, All Other	37 Payroll and Timekeeping Clerks	52 Tellers
9 Court, Municipal, and License Clerks	24 Insurance Claims and Policy Processing Clerks	38 Police, Fire, and Ambulance Dispatchers	53 Weighers, Measurers, Checkers, and Samplers, Recordkeeping
10 Credit Authorizers, Checkers, and Clerks	25 Interviewers, Except Eligibility and Loan	39 Postal Service Clerks	54 Word Processors and Typists
11 Customer Service Representatives	26 Legal Secretaries and Administrative Assistants	40 Postal Service Mail Carriers	
12 Data Entry Keyers	27 Library Assistants, Clerical	41 Postal Service Mail Sorters, Processors, and Processing Machine Operators	
13 Desktop Publishers	28 Loan Interviewers and Clerks	42 Procurement Clerks	
14 Dispatchers, Except Police, Fire, and Ambulance	29 Mail Clerks and Mail Machine Operators, Except Postal Service	43 Production, Planning, and Expediting Clerks	
15 Eligibility Interviewers, Government Programs		44 Proofreaders and Copy Markers	

**24. Personal Care and Service, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Amusement and Recreation Attendants	11 Exercise Trainers and Group Fitness Instructors	17 Gambling Dealers	25 Nonfarm Animal Caretakers
2 Animal Trainers	12 First-line Supervisors of Entertainment and Recreation Workers, Except Gambling Services Workers	18 Gambling Service Workers, All Other	26 Personal Care Aides
3 Baggage Porters and Bellhops	13 First-line Supervisors of Gambling Services Workers	19 Hairdressers, Hairstylists, and Cosmetologists	27 Personal Care and Service Workers, All Other
4 Barbers	14 First-Line Supervisors of Personal Service Workers	20 Locker Room, Coatroom, and Dressing Room Attendants	28 Recreation Workers
5 Childcare Workers	15 Funeral Attendants	21 Makeup Artists, Theatrical and Performance	29 Residential Advisors
6 Concierges	16 Gambling and Sports Book Writers and Runners	22 Manicurists and Pedicurists	30 Shampooers
7 Costume Attendants		23 Morticians, Undertakers, and Funeral Arrangers	31 Skincare Specialists
8 Crematory Operators		24 Motion Picture Projectionists	32 Tour Guides and Escorts
9 Embalmers			33 Travel Guides
10 Entertainment Attendants and Related Workers, All Other			34 Ushers, Lobby Attendants, and Ticket Takers

**25. Production, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Adhesive Bonding Machine Operators and Tenders	26 Extruding and Forming Machine Setters, Operators, and Tenders, Synthetic and Glass Fibers	54 Milling and Planing Machine Setters, Operators, and Tenders, Metal and Plastic	85 Sewers, Hand
2 Aircraft Structure, Surfaces, Rigging, and Systems Assemblers	27 Extruding, Forming, Pressing, and Compacting Machine Setters, Operators, and Tenders	55 Mixing and Blending Machine Setters, Operators, and Tenders	86 Sewing Machine Operators
3 Assemblers and Fabricators, All Other	28 Fabric and Apparel Patternmakers	56 Model Makers, Metal and Plastic	87 Shoe and Leather Workers and Repairers
4 Bakers	29 Fiberglass Laminators and Fabricators	57 Model Makers, Wood	88 Shoe Machine Operators and Tenders
5 Butchers and Meat Cutters	30 First-Line Supervisors of Production and Operating Workers	58 Molders, Shapers, and Casters, Except Metal and Plastic	89 Slaughterers and Meat Packers
6 Cabinetmakers and Bench Carpenters	31 Food and Tobacco Roasting, Baking, and Drying Machine Operators and Tenders	59 Molding, Coremaking, and Casting Machine Setters, Operators, and Tenders, Metal and Plastic	90 Stationary Engineers and Boiler Operators
7 Chemical Equipment Operators and Tenders	32 Food Batchmakers	60 Multiple Machine Tool Setters, Operators, and Tenders, Metal and Plastic	91 Structural Metal Fabricators and Fitters
8 Chemical Plant and System Operators	33 Food Cooking Machine Operators and Tenders	61 Nuclear Power Reactor Operators	92 Tailors, Dressmakers, and Custom Sewers
9 Cleaning, Washing, and Metal Pickling Equipment Operators and Tenders	34 Food Processing Workers, All Other	62 Ophthalmic Laboratory Technicians	93 Team Assemblers
10 Coating, Painting, and Spraying Machine Setters, Operators, and Tenders	35 Forging Machine Setters, Operators, and Tenders, Metal and Plastic	63 Packaging and Filling Machine Operators and Tenders	94 Textile Bleaching and Dyeing Machine Operators and Tenders
11 Coil Winders, Tapers, and Finishers	36 Foundry Mold and Coremakers	64 Painters, Transportation Equipment Workers	95 Textile Cutting Machine Setters, Operators, and Tenders
12 Computer Numerically Controlled Tool Operators	37 Furnace, Kiln, Oven, Drier, and Kettle Operators and Tenders	65 Painting, Coating, and Decorating Workers	96 Textile Knitting and Weaving Machine Setters, Operators, and Tenders
13 Computer Numerically Controlled Tool Programmers	38 Furniture Finishers	66 Paper Goods Machine Setters, Operators, and Tenders	97 Textile Winding, Twisting, and Drawing Out Machine Setters, Operators, and Tenders
14 Cooling and Freezing Equipment Operators and Tenders	39 Gas Plant Operators	67 Patternmakers, Metal and Plastic	98 Textile, Apparel, and Furnishings Workers, All Other
15 Crushing, Grinding, and Polishing Machine Setters, Operators, and Tenders	40 Grinding and Polishing Workers, Hand	68 Patternmakers, Wood	99 Timing Device Assemblers and Adjusters
16 Cutters and Trimmers, Hand	41 Grinding, Lapping, Polishing, and Buffing Machine Tool Setters, Operators, and Tenders, Metal and Plastic	69 Petroleum Pump System Operators, Refinery Operators, and Gaugers	100 Tire Builders
17 Cutting and Slicing Machine Setters, Operators, and Tenders	42 Heat Treating Equipment Setters, Operators, and Tenders, Metal and Plastic	70 Photographic Process Workers and Processing Machine Operators	101 Tool and Die Makers
18 Cutting, Punching, and Press Machine Setters, Operators, and Tenders, Metal and Plastic	43 Helpers--Production Workers	71 Plant and System Operators, All Other	102 Tool Grinders, Filers, and Sharpeners
19 Dental Laboratory Technicians	44 Inspectors, Testers, Sorters, Samplers, and Weighers	72 Plating and Coating Machine Setters, Operators, and Tenders, Metal and Plastic	103 Upholsterers
20 Drilling and Boring Machine Tool Setters, Operators, and Tenders, Metal and Plastic	45 Jewelers and Precious Stone and Metal Workers	73 Pourers and Casters, Metal	104 Water and Wastewater Treatment Plant and System Operators
21 Electrical and Electronic Equipment Assemblers	46 Lathe and Turning Machine Tool Setters, Operators, and Tenders, Metal and Plastic	74 Power Distributors and Dispatchers	105 Welders, Cutters, Solderers, and Brazers
22 Electromechanical Equipment Assemblers	47 Laundry and Dry-Cleaning Workers	75 Power Plant Operators	106 Welding, Soldering, and Brazing Machine Setters, Operators, and Tenders
23 Engine and Other Machine Assemblers	48 Layout Workers, Metal and Plastic	76 Prepress Technicians and Workers	107 Woodworkers, All Other
24 Etchers and Engravers	49 Machinists	77 Pressers, Textile, Garment, and Related Materials	108 Woodworking Machine Setters, Operators, and Tenders, Except Sawing
25 Extruding and Drawing Machine Setters, Operators, and Tenders, Metal and Plastic	50 Meat, Poultry, and Fish Cutters and Trimmers	78 Print Binding and Finishing Workers	
	51 Medical Appliance Technicians	79 Printing Press Operators	
	52 Metal Workers and Plastic Workers, All Other	80 Production Workers, All Other	
	53 Metal-Refining Furnace Operators and Tenders	81 Rolling Machine Setters, Operators, and Tenders, Metal and Plastic	
		82 Sawing Machine Setters, Operators, and Tenders, Wood	
		83 Semiconductor Processing Technicians	
		84 Separating, Filtering, Clarifying, Precipitating, and Still Machine Setters, Operators, and Tenders	

**26. Protective Service, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Animal Control Workers	9 First-Line Supervisors of Fire Fighting and Prevention Workers	13 Fish and Game Wardens	19 Private Detectives and Investigators
2 Bailiffs	10 First-Line Supervisors of Police and Detectives	14 Forest Fire Inspectors and Prevention Specialists	20 Protective Service Workers, All Other
3 Correctional Officers and Jailers	11 First-Line Supervisors of Protective Service Workers, All Other	15 Gambling Surveillance Officers and Gambling Investigators	21 School Bus Monitors
4 Crossing Guards and Flaggers	12 First-Line Supervisors of Security Workers	16 Lifeguards, Ski Patrol, and Other Recreational Protective Service Workers	22 Security Guards
5 Detectives and Criminal Investigators		17 Parking Enforcement Workers	23 Transit and Railroad Police
6 Fire Inspectors and Investigators		18 Police and Sheriff's Patrol Officers	24 Transportation Security Screeners
7 Firefighters			
8 First-Line Supervisors of Correctional Officers			

**27. Public Service, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Consulate	5 Miscellaneous elected official	9 Nonprofit Management	13 Public Health Services
2 Council	6 Miscellaneous Nonprofit worker	10 Political Diplomacy	14 Public Housing
3 Emergency services	7 Miscellaneous Public Service worker	11 President	15 Public Safety
4 Mayor	8 Nonprofit Administration	12 Public Diplomacy	

**29. Sales and Related, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Advertising Sales Agents	7 First-Line Supervisors of Retail Sales Workers	14 Retail Salespersons	20 Sales Representatives, Wholesale and Manufacturing, Technical and Scientific Products
2 Cashiers	8 Gambling Change Persons and Booth Cashiers	15 Sales and Related Workers, All Other	21 Securities, Commodities, and Financial Services Sales Agents
3 Counter and Rental Clerks	9 Insurance Sales Agents	16 Sales Engineers	22 Telemarketers
4 Demonstrators and Product Promoters	10 Models	17 Sales Representatives of Services, Except Advertising, Insurance, Travel, and Financial Services	23 Travel Agents
5 Door-to-Door Sales Workers, News and Street Vendors, and Related Workers	11 Parts Salespersons	18 Sales Representatives, Services, All Other	
6 First-Line Supervisors of Non-Retail Sales Workers	12 Real Estate Brokers	19 Sales Representatives, Wholesale and Manufacturing, Except Technical and Scientific Products	
	13 Real Estate Sales Agents		

**33. Transportation and Material Moving, 9. Entrepreneur, 12. Foreign Service, 20. Manager, 32. Supervisor**

1 Air Traffic Controllers	14 Conveyor Operators and Tenders	27 Laborers and Freight, Stock, and Material Movers, Hand	41 Refuse and Recyclable Material Collectors
2 Aircraft Cargo Handling Supervisors	15 Crane and Tower Operators	28 Light Truck Drivers	42 Sailors and Marine Oilers
3 Aircraft Service Attendants	16 Dredge Operators	29 Locomotive Engineers	43 Ship Engineers
4 Airfield Operations Specialists	17 Driver/Sales Workers	30 Machine Feeders and Offbearers	44 Shuttle Drivers and Chauffeurs
5 Airline Pilots, Copilots, and Flight Engineers	18 First Line Supervisors of Transportation Passenger Attendants	31 Material Moving Workers, All Other	45 Stockers and Order Fillers
6 Ambulance Drivers and Attendants, Except Emergency Medical Technicians	19 First Line Supervisors of Transportation Workers, All Other	32 Motor Vehicle Operators, All Other	46 Subway and Streetcar Operators
7 Automotive and Watercraft Service Attendants	20 First-Line Supervisors of Helpers, Laborers, and Material Movers, Hand	33 Motorboat Operators	47 Tank Car, Truck, and Ship Loaders
8 Bridge and Lock Tenders	21 First-Line Supervisors of Material-Moving Machine and Vehicle Operators	34 Packers and Packagers, Hand	48 Taxi Drivers
9 Bus Drivers, School	22 Flight Attendants	35 Parking Attendants	49 Traffic Technicians
10 Bus Drivers, Transit and Intercity	23 Gas Compressor and Gas Pumping Station Operators	36 Pump Operators, Except Wellhead Pumps	50 Transportation Inspectors
11 Captains, Mates, and Pilots of Water Vessels	24 Heavy and Tractor-Trailer Truck Drivers	37 Rail Transportation Workers, All Other	51 Transportation Passenger Attendants
12 Cleaners of Vehicles and Equipment	25 Hoist and Winch Operators	38 Rail Yard Engineers, Dinkey Operators, and Hostlers	52 Transportation Workers, All Other
13 Commercial Pilots	26 Industrial Truck and Tractor Operators	39 Railroad Brake, Signal, and Switch Operators and Locomotive Firers	53 Wellhead Pumps
		40 Railroad Conductors and Yardmasters	