

Citi Bearer Share Notification & Confirmation

DATE:

Company Name
Address Line 1
Address Line 2

Dear Sir/Madam,

Please see the below notice of Citi's Bearer Share Policy and reply within **30** days to Citi acknowledging receipt of this letter and responding to the below question/questions regarding bearer shares. The response to the question below can be provided by an authorized senior official in the form of email or signed company letter.

Question	Yes/No
Does your company explicitly permit the issuance of bearer shares or bearer warrants?	
Does your company have 10% or more of company ownership in bearer shares outstanding?	

For each Beneficial Owner, please provide responses to each question.

Beneficial Owner Name: _____

Question	Yes/No
Does the Beneficial Owner explicitly permit the issuance of bearer shares or bearer warrants?	
Does the Beneficial Owner have 10% or more of its own company ownership in bearer shares outstanding?	
If Yes, what is their percentage outstanding?	%
If Yes, what is their percentage ownership of the Citi entity?	%

Beneficial Owner Name: _____

Question	Yes/No
Does the Beneficial Owner explicitly permit the issuance of bearer shares or bearer warrants?	
Does the Beneficial Owner have 10% or more of its own company ownership in bearer shares outstanding?	
If Yes, what is their percentage outstanding?	%
If Yes, what is their percentage ownership of the Citi entity?	%

Please use next page for any additional Beneficial Owners.

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Notice of Citi Bearer Share Policy

Please be advised that Citi policy prohibits doing business with companies who issue bearer shares or have beneficial owners* who issue bearer shares. If any portion of your company ownership is held in bearer shares, or it is your intent to issue bearer shares, you are obligated to notify Citi immediately through your Banker or Relationship Manager. Similarly, if any of your beneficial owners are corporate entities that have issued bearer shares, or intend to issue bearer shares, you are also required to notify Citi immediately. Your continued usage of Citi services constitutes your acknowledgment of, adherence to, and compliance with this Citi policy. *A Beneficial Owner in this instance owns 10% or more of the company.



Beneficial Owner Name: _____

Question	Yes/No
Does the Beneficial Owner explicitly permit the issuance of bearer shares or bearer warrants?	
Does the Beneficial Owner have 10% or more of its own company ownership in bearer shares outstanding?	
If Yes, what is their percentage outstanding?	%
If Yes, what is their percentage ownership of the Citi entity?	%

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If Yes, what is their percentage ownership of the Citi entity?	%

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If Yes, what is their percentage ownership of the Citi entity?	%

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Does the Beneficial Owner have 10% or more of its own company ownership in bearer shares outstanding?	
If Yes, what is their percentage outstanding?	%
If Yes, what is their percentage ownership of the Citi entity?	%

Beneficial Ownership Declaration Form

(Execution by International Business Corporation/Private Investment Company only)

To: Citibank Singapore Limited (“Citibank”)

In connection with the account(s) opened under the name of

_____ (“the company”)
with Citibank, I/we the undersigned HEREBY CERTIFY that specified below is/are the ultimate beneficial owner(s) of all of the outstanding shares of the company.

I /WE FURTHER CERTIFY AND AGREE (select one):

- that the company is not authorized to issue bearer shares.

- that if the company is authorized to issue any “bearer” share, it has not issued and does not maintain any outstanding bearer share and will not issue any “bearer” share so long as it maintains an account at Citibank. I/We understand that Citibank does not agree to provide banking services to any company that issues bearer shares.

I/WE FURTHER CERTIFY AND AGREE:

- that if the company has beneficial owners that own 10% or more of the company, that the beneficial owner or parent company does not maintain any outstanding bearer shares, and

- that I/we will promptly provide written notification to Citibank of any change in ownership of the company and of any change to the statements/information provided in this document within 1 month of such change.

No.	Ultimate Beneficial Owner(s)	Demographics	Identification Information	
1	Last Name, First Name, Middle Name	Date of Birth	ID Type	
		US TIN/SSN (if applicable)	ID Number	
		Country of Citizenship	Issuing Country	
	% of Ownership	Address	Date Issued	
	If Corporation, Number of Shares Owned		Expiration Date	
Business Title/Occupation				
2	Last Name, First Name, Middle Name	Date of Birth	ID Type	
		US TIN/SSN (if applicable)	ID Number	
		Country of Citizenship	Issuing Country	
	% of Ownership	Address	Date Issued	
	If Corporation, Number of Shares Owned		Expiration Date	
Business Title/Occupation				
3	Last Name, First Name, Middle Name	Date of Birth	ID Type	
		US TIN/SSN (if applicable)	ID Number	
		Country of Citizenship	Issuing Country	
	% of Ownership	Address	Date Issued	
	If Corporation, Number of Shares Owned		Expiration Date	
Business Title/Occupation				
4	Last Name, First Name, Middle Name	Date of Birth	ID Type	
		US TIN/SSN (if applicable)	ID Number	
		Country of Citizenship	Issuing Country	
	% of Ownership	Address	Date Issued	
	If Corporation, Number of Shares Owned		Expiration Date	
Business Title/Occupation				

I/We HEREBY CERTIFY that I/we are the duly appointed Authorised Signer(s) of the company and I /we hereby confirm that the information provided is correct and consistent with the books and records of this company.

Signature of Authorised Signer

Name

Title

Date

Signature of Authorised Signer

Name

Title

Date



ULTIMATE SHAREHOLDER(S) DECLARATION

(THE FOLLOWING DECLARATION TO BE COMPLETED FOR PRIVATE INVESTMENT COMPANY)

Without limitation to the above certifications and declarations, I/we, the beneficial owner(s) of the company declare as follows:

I/We, declare and confirm that I am/we are the ultimate beneficial owner(s) of the company. I/We further confirm that I/we do not act as nominee/agent shareholder for the company. I/We shall at the request of Citibank provide unambiguous information and documentary evidence about my/our identity(ies), source of funds, nature and flow of funds.

I/We undertake that if and when I/we, as ultimate beneficial owner(s) of the company, intend and /or initiate a change of ultimate beneficial ownership, I/we shall give Citibank at least 1 month notice in writing of my/our intention.

_____ Signature of Ultimate Beneficial Owner	_____ Name	_____ Title	_____ Date
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_____ Signature of Ultimate Beneficial Owner	_____ Name	_____ Title	_____ Date
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For Bank Use only	Customer Number: _____
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